

Multitemporal analysis of high spatial resolution imagery for disturbance monitoring

Michael A. Wulder^{1*}, Joanne C. White¹, Nicholas C. Coops², and Christopher R. Butson^{1^}

¹Canadian Forest Service (Pacific Forestry Centre), Natural Resources Canada, Victoria, British Columbia, Canada

²Department of Forest Resource Management, University of British Columbia, 2424 Main Mall, University of British Columbia, Vancouver, British Columbia, V6T 1Z4, Canada

[^]Current address:

Forest Analysis and Inventory Branch, British Columbia Ministry of Forests and Range, 7th Floor, 727 Fisgard Street, Victoria, BC V8W 1R8, Canada.

* Corresponding author:

506 West Burnside Rd., Victoria, BC V8Z 1M5;

Phone: 250-363-6090; Fax: 250-363-0775; Email: mike.wulder@pfc.cfs.nrcan.gc.ca

Key words: QuickBird, high spatial resolution, monitoring, image processing, insect, change detection, dynamics

Submitted to: Remote Sensing of Environment

Date: September 20, 2007

Reviews returned: December 18, 2007

Revised and resubmitted: January 4, 2007

© Her Majesty the Queen in Right of Canada, 2008.

Note: This pdf is a preprint of an article published in Remote Sensing of Environment, Remote Sensing of Environment 112 (2008) 2729–2740. If there are any discrepancies between this preprint and the published paper, the published paper should be considered the authoritative version.

A pdf of the published paper can be obtained from the publisher's web site at <http://dx.doi.org/10.1016/j.rse.2008.01.010>.

Abstract

Mountain pine beetle red attack damage has been successfully detected and mapped using single-date high spatial resolution ($< 4\text{m}$) satellite multispectral data. Forest managers; however, need to monitor locations for changes in beetle populations over time. Specifically, counts of individual trees attacked in successive years provide an indication of beetle population growth and dynamics. Surveys are typically used to estimate the ratio of green (current) attack trees to red (previous) attack trees or G:R. In this study, we estimate average stand-level G:R, using a time series of QuickBird multispectral and panchromatic satellite data, combined with field data for three forested stands near Merritt, British Columbia, Canada. Using a ratio of QuickBird red to green wavelengths (Red-Green Index or RGI), the change in RGI (ΔRGI) in successive image pairs is used to estimate red attack damage in 2004, 2005, and 2006, with true positive accuracies ranging from 89 to 93%. To overcome issues associated with differing viewing geometry and illumination angles, which impair tracking of individual trees through time, segments are generated from the QuickBird multispectral data to identify small groups of trees. These segments then serve as the vehicle for monitoring changes in red attack damage over time. A local maxima filter is applied to the panchromatic data to estimate stem counts, thereby allowing an indication of the total stand population at risk of attack. By combining the red attack damage estimates with the local maxima stem counts, predictions are made of the number of attacked trees in a given year. Backcasting the current year's red attack damaged trees as the previous year's green attack facilitates the estimation of an average stand G:R. In this study area, these retrospective G:R values closely match those generated from field surveys. The results of this study indicate that a monitoring program using a time series of high spatial resolution remotely sensed data (multispectral and panchromatic) over select sample locations, could be used to estimate G:R over large areas, facilitating landscape level management strategies and/or providing a mechanism for assessing the efficacy of previously implemented strategies.

Introduction

The current outbreak of mountain pine beetle (*Dendroctonus ponderosae*) in western Canada is of unprecedented proportions; in 1999, the area impacted by mountain pine beetle was estimated to be 164,000 ha, and by 2006 the area impacted had increased to 9.2 million ha (Westfall, 2007). It is estimated that by 2013, 80% of the mature pine in British Columbia will have been killed by the beetle (Eng, 2005). The rapid expansion of the beetle population has been facilitated by the large amount of mature lodgepole pine, which has tripled in the last century as a result of intensive fire suppression activities (Taylor and Carroll, 2004). Several successive years of favorable climatic conditions have resulted in an increase in climatically suitable areas for brood development (Logan and Powell, 2004; Carroll et al. 2004; 2006a) and subsequent increases in mountain pine beetle range, northward, eastward, and to greater elevations.

Information Need

Counts of individual trees in consecutive years provide an indication of population growth and dynamics. Once a host tree has been attacked and killed by mountain pine beetle, its foliage will remain green for an initial period, and this is known as the green (current) attack stage (Wulder et al., 2006a). The foliage will gradually fade, and by 12 months after attack, 90% of killed trees will have red foliage (Amman, 1982; Henigman et al., 1999). This is the visually distinct red attack stage, and it is this stage of the infestation that may be captured by remote sensing methods (Wulder et al., 2006b). By three years after the initial attack, most of the killed trees will have lost all of their needles, and this is known as the grey attack stage (British Columbia Ministry of Forests, 1995). There is variability in the rate at which the foliage will discolor, depending on species and site conditions (Safranyik, 2004).

Given the magnitude and the spatial extent of the infestation in British Columbia, the information needs of forest managers are now focused on monitoring areas on the leading edge of the infestation, particularly areas along the border between the Canadian provinces of British Columbia and Alberta. Here, management efforts are attempting to reduce the spread of the mountain pine beetle further eastward into Alberta and beyond into the boreal forest. Typically, ground surveys are conducted annually to determine beetle population trends. The population trend of an infestation in a particular stand is determined by estimating the ratio of currently attacked trees (green attack) to one-year-old attacked trees (red attack) trees (G:R). This ratio is estimated from a sub-sample of trees in the stand (*i.e.*, based on randomly located transects). A ratio greater than 1 is indicative of an increasing population, while a ratio less than 1 is indicative of a declining population. The G:R is useful for estimating changes in the size of the beetle population and is one of the factors used to determine the management strategy for forest management units in British Columbia (e.g., suppression, salvage, monitoring) (British Columbia Ministry of Forests, 1995). Another form of survey is the over-wintering brood assessment survey, which is conducted in the spring by sampling under the bark of infested trees. These brood assessment surveys are used to estimate brood mortality and provide an indication of the rate of population increase (r-value) (Safranyik and Carroll, 2006).

1

2 ***High Spatial Resolution Remotely Sensed Data: Single Date Red Attack Mapping***

3 High spatial resolution data provides the opportunity to track forest damage, such as that
4 caused by mountain pine beetle, at a local scale. The level of detail and the flexibility for
5 digital analyses afforded by high spatial resolution satellite data increases opportunities
6 beyond what was previously possible with aerial photography and Landsat data (Sawaya
7 et al. 2003). Single-date, high spatial resolution remotely sensed data have been used to
8 map red attack damage at the forest stand and individual tree level. White et al. (2005)
9 use a single date IKONOS 4 m multi-spectral data to detect mountain pine beetle red
10 attack damage in forest stands with low and moderate levels of attack, and compared red
11 attack damage estimates to estimates generated from air photo interpretation. Results
12 indicate that within a one-pixel buffer (4 m) of identified damage pixels, the accuracy of
13 red attack detection was 70.1% for areas of low infestation (stands with less than 5% of
14 trees damaged) and 92.5% for areas of moderate infestation (stands with between 5% and
15 20% of trees damaged). Analysis of red attack trees that were missed in the classification
16 of the IKONOS imagery indicate that detection of red attack was most effective for larger
17 tree crowns (diameter >1.5 m) that were <11 m from other red attack trees.

18

19 Coops et al. (2006a) used helicopter GPS measurements of beetle infested pine trees in
20 north-central British Columbia to identify areas of attack and non-attack stands on
21 QuickBird 2.4 m multispectral data (blue, green, red, and near-infrared). Using a 50 m
22 buffer around each GPS point, the authors tested the ANOVA separability of each of the
23 spectral bands along with a greenness index and red/green spectral ratio under four
24 classes: sunlit non-attacked crowns, dense red attack crowns, fader crowns, and
25 shadowed crowns. Based on the results of the ANOVA, spectral thresholds were used to
26 generate a binary map for red attack and non-attack (combining non-attacked crowns
27 with crowns obscured by shadows). The results show that the ratio of the red to green
28 QuickBird spectral bands was the most significant band combination for detecting red
29 attack beetle damage, and the red attack identified with the QuickBird imagery had good
30 correspondence to both forest health survey data and broader spatial resolution Landsat
31 imagery. At the landscape scale, improvements in mountain pine beetle red attack
32 detection and mapping have been facilitated by the use of multi-temporal Landsat
33 imagery (Skakun et al., 2003; Coops et al., 2006b; Wulder et al., 2006c). The authors
34 anticipated that a similar improvement in detection accuracy would be possible with
35 multiple dates of high spatial resolution data.

36

37 ***Change Detection with High Spatial Resolution Imagery***

38 Until recently, change detection using high spatial resolution imagery has been
39 constrained to the use of aerial photographs. Since high spatial resolution satellite sensors
40 have only been in commercial operation since 1999, the acquisition of satellite-based
41 high spatial resolution images in a temporal sequence has been unavailable due to limited
42 image archiving, difficulties in acquiring cloud-free imagery, and prohibitive costs. Other
43 issues, such as viewing geometry and illumination conditions also complicate the use of

1 high spatial resolution satellite imagery for change detection (depending on the desired
2 target). QuickBird has a revisit rate of 3 to 7 days as a result of the sensor's variable
3 cross-track and in-track viewing capability and while this offers temporal flexibility, the
4 off-nadir viewing geometry confounds change detection approaches. Revisit rates for
5 IKONOS are similar, with 3-5 days for off-nadir and 144 days for true-nadir imagery.

6
7 Im and Jensen (2005) concluded that traditional approaches to change detection fail to
8 operate successfully with high spatial resolution data, primarily because of the
9 proliferation of high frequency, high contrast objects (shadows) in these images, and the
10 impact of off-nadir view angles that cause horizontal layover of vertical objects such as
11 trees and buildings. This is especially true in forests, as object-oriented crown delineation
12 using either high spatial resolution satellite imagery (Kasper and Phinn, 2006) or small-
13 format aerial photography (Key et al., 2001) has been met with limited success with the
14 exception in very high spatial resolution (<30cm) images (Pouliot et al., 2002). Asner
15 and Warner (2003) examined the influence of varying viewing and illumination
16 geometries on estimations of shadow fraction. They conclude that the variability in
17 viewing and illumination geometries can have an impact on studies of vegetation
18 structure; significant changes in scene reflectance characteristics can result solely from
19 different observation geometry. Such effects are not limited to high spatial resolution data
20 or to forest environments (Smith and Wise, 2007).

21
22 Approaches to change detection with remotely sensed data are typically based on visual
23 interpretation (Clarke et al., 2004), pixel-based (Lambin and Strahler, 1994; Mas, 1999;
24 Allen and Kupfer, 2001; Im and Jensen, 2005), or object-based methods (Desclée et al.,
25 2006). Change detection methods often form an integral part of any monitoring system
26 that incorporates remotely sensed data. Pixel-based change detection requires extremely
27 accurate co-registration of images (Townshend et al., 1992), and often produces result
28 that are heterogeneous or "salt and pepper" in appearance (Gong and Xu, 2003). This is
29 caused by both random variation in the sensor's response, and intrinsic variability in the
30 target (e.g., forests). Object-based change detection approaches have emerged as a result
31 of improved image segmentation capabilities (Möller et al., 2007). Image segmentation is
32 the partitioning of a digital image into a set of jointly exhaustive, mutually disjoint
33 regions that are more uniform within themselves than when compared to adjacent
34 regions.

35
36 Liu et al. (2006) used Airborne Data Acquisition and Registration (ADAR) data
37 (approximately 1 m spatial resolution) for monitoring the spread of Sudden Oak Death
38 over a two year period in forest environment of coastal California. They implemented a
39 pixel-based method of change detection by combining Support Vector Machines and
40 Markov Random Fields model accounting. Challenges were encountered in image-to-
41 image registration due to the wide view angle of the sensor ($\text{nadir} \pm 35^\circ$), variation in the
42 terrain, low flying altitude and aircraft yaw, pitch, and roll. By incorporating spatial-
43 temporal contextual information into the classification of Sudden Oak Death, the
44 accuracy of the disease detection improved.

1 Desclée et al. (2005) implemented an object-based approach to forest land cover change
2 detection using 3 SPOT-HRV images (20 m spatial resolution), collected over a 10 year
3 period. The objective of this study was to automate the identification of change/no-
4 change in a manner that was scene-independent. Their method incorporated image
5 segmentation, image differencing, and stochastic analysis of multispectral data (OB-
6 Reflectance method). The authors concluded that their multi-date segmentation approach
7 effectively constrained variability for subsequent statistical analysis. Change was
8 identified through spectral differencing, and the authors identified the primary advantages
9 of the object based approach were cited as reduced processing time, less sensitivity to
10 pixel registration errors, and no requirement for a predefined threshold to distinguish
11 change segments from no-change segments. While the latter may be true if you are only
12 interested in a binary change map, detail on a specific type of change would require a
13 threshold and associated calibration data.

15 ***Objectives***

16 The objective of this project was to establish a forest health monitoring system that would
17 address the information need of forest managers concerning the spread of mountain pine
18 beetles, such as eastward from British Columbia into Alberta and beyond. Specifically,
19 the goal was to use high spatial resolution remotely sensed data to capture two critical
20 pieces of information: first, tree based estimates of red attack damage; and secondly, an
21 estimate of stem density. By combining these two pieces of information, the number of
22 red attack trees could be estimated for any given year, and then by backcasting these
23 estimates, retrospective G:R ratios could be generated. This method also gives an
24 estimate of the population-at-risk (total stems), which is information not typically
25 provided by ground surveys. The approach would allow G:R to be generated over large
26 areas, providing a synoptic view of spatial variation in G:R which then in turn could be
27 used for strategic planning of landscape level beetle management practices and/or to
28 assess the efficacy of strategies and management practices implemented.

Study Site

The study site is located at Angstad Creek, 25 km south of Merritt, British Columbia, Canada, centered at approximately 49.84° N and 120.75° W (Figure 1). This site was originally selected for a study on mountain pine beetle outbreak development, designed to examine the transition from endemic to incipient endemic population levels (Carroll et al., 2006). Criteria for site selection included a historically suitable climate for mountain pine beetle (Carroll et al., 2004), and an absence of detectable beetle activity at the site, or within 10 km of the site, in 2002. From 2002 to 2005, regular field surveys were conducted in selected forest stands in the study area to monitor mountain pine beetle populations. Three of these stands (denoted as A, B, and C) were used in our analysis, ranging in size from 10 to 18 ha, containing pine as leading species, that were greater than 80 years of age, with moderately dense stocking (800 -1500 stems/ha)) (Carroll et al., 2006b). Variable prism plots in each of the stands indicated that the mensurational characteristics of the stands surveyed were sufficiently uniform (Table 1) and highly susceptible to mountain pine beetle attack (*i.e.*, lodgepole pine).

<Insert Figure 1 about here>

<Insert Table 1 about here>

Data

Remotely Sensed Data

Four QuickBird-2 images were acquired annually during the 2003 to 2006 summer growing seasons (Table 2 and Figure 2). The sun elevation at the time of image acquisition ranged from 53 to 58 degrees, and the off nadir view angles ranged from 7.7 to 14.6 degrees (Figure 3). QuickBird imagery contains four multispectral bands with a 2.5 m spatial resolution: 0.45-0.52 μm (blue); 0.52-0.60 μm (green); 0.63-0.69 μm (red); 0.76-0.90 μm (near infra-red); and a panchromatic band (0.45-0.90 μm), with a 0.68 m spatial resolution (Birk et al., 2003).

<Insert Table 2 about here>

<Insert Figure 2 about here>

<Insert Figure 3 about here>

Forest Health Survey Data

A baseline census of stands A, B, and C was completed in 2002; a survey grid was established in each stand, and the location of each tree referenced by a bearing to the nearest grid point. In this way, each tree became a survey point, and a stem map was generated for each stand. At four-week intervals between June and September of each year from 2002 to 2005, the health status of all trees in stands A and B were assessed and recorded; trees in stand C were surveyed and categorized for health status only once per year in mid-September (Carroll et al., 2006b). No field data was collected in Stand B after 2004, as at that point, the beetle populations in the stand had reached epidemic levels. In total, 906 trees surveyed between 2002 and 2005 were attacked by mountain pine beetle (176 in Stand A, 663 in stand B, and 67 in stand C).

1 **Methods**

2 Figure 4 outlines the methodology used to estimate G:R ratios for each of the stands.
3 Each component of this schematic is described in detail in the following sections.

4
5 <Insert Figure 4 about here>
6

7 ***Image radiometric and geometric pre-processing***

8 The QuickBird images were received as Standard Image products, in at-sensor radiance,
9 from the data provider (DigitalGlobe Inc., 2007). All pre-processing on the panchromatic
10 and multispectral bands were done independently. Multi-temporal analysis necessitates
11 calibration of pixel values (Wu et al., 2005); radiance values were converted to top-of-
12 atmosphere (TOA) reflectance using the gains and offsets provided in the image header
13 files along with solar exoatmospheric irradiances estimated from normalized spectral
14 response data (DigitalGlobe Inc., 2007) and a standard extraterrestrial solar spectrum
15 reference (American Society for Testing and Materials, 2000).

16
17 The 2003 image was georeferenced to Terrain Resource Information Management II
18 (TRIM II) (British Columbia Ministry of Environment Lands and Parks, 2005) aerial
19 photography (1:20,000) using a third order polynomial and a cubic convolution
20 resampling algorithm, resulting in a root-mean-square (RMS) error of 0.5 pixels (1.2 m).
21 The 2003 image was then used as the control image to which the 2004, 2005, and 2006
22 images were subsequently coregistered (also using a third order polynomial and a cubic
23 convolution resampling algorithm). For all three images, the final RMS error was less
24 than 0.5 pixels (1.2m) for the multi-spectral bands, and less than 1 pixel (0.6m) for the
25 panchromatic image band. A minimum of 15 GCPs were used for each of the geometric
26 corrections.
27

28 ***Red attack detection***

29 Locations of red attack damage were identified by calculating and thresholding the Δ RGI
30 values for each image pair (2003-2004; 2004-2005; 2005-2006). For this study, field
31 survey data were used to determine which trees experienced attack by mountain pine
32 beetle, with the crowns gradually turning red (and detectable with remotely sensed data)
33 in the following year. Therefore, trees identified in the survey as having been attacked in
34 2003, would have turned the characteristic red color in 2004, while crowns of trees
35 attacked in 2004 would appear red in the 2005 image (and so on).
36

37 For each image, a ratio of the red to green wavelengths was computed (hereafter referred
38 to as the Red-Green Index or RGI). This index emphasizes the spectral change in foliage
39 color from green to red, and has been used successfully for detecting mountain pine
40 beetle red attack damage with single-date QuickBird imagery (Coops et al., 2006a).
41 Using a multi-date approach for change detection, the RGI values from the 2004 image
42 were subtracted from the corresponding RGI values from the 2003 image, and the change
43 in RGI values was calculated (hereafter referred to as Δ RGI_2004).

1
2 A 10% sub-sample ($n = 90$) of the field data was selected at random for calibration
3 purposes, with the remainder of the field data used for validation. For example, a subset
4 of the 2003 forest health survey was used to iteratively determine the upper and lower
5 limit of ΔRGI_2004 values that corresponded to red attack damage (as differences in RGI
6 values spanned a range of conditions, including harvesting). The sub-sample of the field
7 data was used to assess the omission and commission error resulting from the threshold,
8 with the objective being to adjust the threshold to minimize these errors. The final
9 threshold was then applied to the ΔRGI_2004 values to create a data layer identifying red
10 attack damage in stands A, B, and C in 2004. A similar process was then used to identify
11 red attack damage that manifested in 2005 (ΔRGI_2005) and 2006 (ΔRGI_2006). This
12 processing provided locations of red attack damage in stands A, B, and C, in 2004, 2005,
13 and 2006. Accuracy of the red attack detection was assessed at the segment level using
14 field data. Agreement occurred when a segment contained red attack identified by
15 thresholding the ΔRGI and attack measured in the field.
16

17 *Image segmentation*

18 The different viewing geometry and illumination conditions, combined with the potential
19 for error in co-registering multiple years of imagery with ancillary ground surveys
20 (Weber et al. 2007), posed challenges for tracking the health status of individual trees
21 through time. As a result, an alternative approach was developed that tracked groups of
22 trees (or pixels) through time. This was achieved by segmenting the 2003 QuickBird
23 multispectral image. Segments were generated using all four multispectral bands in
24 eCognition software (Definiens GmbH, München, Germany) with the following
25 parameters: equal weights for all bands; scale = 15; shape = 0.9; color = 0.1; compactness
26 = 1; smoothness = 0). For the three stands of interest, the average size of the segments
27 ranged from 347 to 405 m². Stand A had 454 segments; stand B, 596 segments; and stand
28 C, 313 segments.
29

30 *Estimating stem counts*

31 Recall that the information need associated with monitoring mountain pine beetle attack
32 at the tree level is the ratio of the number of trees in the green attack stage, to the number
33 of trees in the red attack stage. In order to fulfill this information need, some
34 approximation of the number of trees in each stand was required. A local maxima filter
35 (Wulder et al. 2000) was applied to the panchromatic QuickBird image for each year to
36 identify individual tree crowns. Past research has indicated that local maxima filtering is
37 biased towards larger tree crowns (Wulder et al., 2000; Wulder et al., 2004b), which is
38 not a concern for this application for two reasons: first, the information need requires a
39 relative estimate of green attack to red attack trees (and changes to that ratio over time) –
40 not an exact census of all trees in the stand; secondly, since larger tree crowns are
41 typically associated with larger, mature trees, and it is these larger trees that are most
42 susceptible to attack by mountain pine beetle (Shore and Safranyik, 1992), the omission
43 error for individual crowns is considered acceptable. The advantage of this approach over

1 other methods is that it provides an indication of the population-at-risk of attack by
2 mountain pine beetle. A local maxima filter was applied to each QB PAN image and the
3 impact of different viewing geometry and illumination conditions on tree counts were
4 assessed using Analysis of Variance (ANOVA) on tree counts by segment.
5

6 ***Estimating G:R***

7 Figure 4 summarizes how the remotely sensed outputs of red attack damage, image
8 segments, and estimates of stem count were combined to estimate the G:R ratio. First, the
9 remotely sensed output (calculated from ΔRGI) is used to determine the area of red attack
10 damage found within the segment. The proportion of red attack area relative to total
11 segment area is then calculated, and that proportion is then applied to the segment stem
12 count (calculated from applying the local maxima filter), resulting in an estimate of the
13 number of trees attacked in the segment for a given year. For example, if ΔRGI indicates
14 that 25% of a segment is red attack in 2004 and the segment has 100 trees, then 25 trees
15 are assumed to be red attack in the segment in 2004. The red attack trees in any given
16 year are assumed to have been green attack in the previous year; hence, red attack trees in
17 2005 are assumed to have been green attack in 2004. Using this approach, we are able to
18 retrospectively construct a G:R ratio for a given year, as well as estimate a rate of
19 population increase for multiple years (Figure 5). G:R ratios were not calculated for all
20 segments in the stand, rather, segments were stratified based on their health status as
21 determined using ΔRGI (only segments with attack were used). From these a random
22 sample of attacked segments representing approximately 15% of the stand area was used
23 in calculating the G:R ratios; the stand G:R ratios are the average of the G:R in each of
24 the randomly selected segments within each stand.
25

26 <Insert Figure 5 about here>

Results

Red attack detection

Red attack damage identified from the QuickBird imagery was compared to locations of red attack damage recorded by field survey. Agreement between the field survey and the remotely sensed outputs occurred when a segment contained a ground survey point (tree) identified as red attack (2002-2005), and also contained red attack in the remote sensing outputs (2004-2006). The results of the accuracy assessment are summarized in Table 3 and indicate a strong correspondence between the field survey and the remotely sensed change mapping, with true positive accuracies ranging from 89 (stands A and C) to 93% (stand B).

<Insert Table 3 about here>

Estimation of stem counts

As discussed earlier, varying viewing geometry and illumination conditions (Table 2), combined with complex forest structure, result in variability in the number and position of local maxima from one image to the next. This shift in a tree's position over time precludes monitoring of individual trees through time (using data of this spatial resolution, with these collection parameters). However, if the image is segmented based on its spectral properties, the resulting segments, representing small groups of trees, could be monitored through time and account for this variability in positional accuracy. For monitoring purposes, it is desirable to identify the population-at-risk in the first year of the study (e.g., through a baseline census). For monitoring red attack damage, a local maxima filter would ideally be applied to the first year of imagery to provide an estimate of stem count per segment, with the assumption that the stem count would remain constant over time (depending on the time horizon for monitoring; a long-term study, > 5-10 years would likely have to account for tree regeneration and mortality). To verify this assumption that stem counts per segment would not vary significantly through time, we applied a local maxima filter to each year of imagery and generated a stem count from each year. An ANOVA on the tree counts, by segment, found that tree counts did not vary significantly from one year to the next (Table 4). As a result, we used the local maxima output from the 2003 image to represent individual stem counts within each segment. The average stem count per segment in stands A, B, and C in 2003 was 22, 18, and 20, respectively.

<Insert Table 4 about here>

Estimation of G:R

Table 5 includes the estimated G:R for each stand in 2004 and 2005. The stand G:R is the average of the G:R for each of the sampled segments within each stand (with sample sizes for each stand indicated in Table 5). For stands A and B, the G:R is very similar to the G:R derived from the field survey. In Stand B, the remotely sensed estimate of G:R is greater than the field surveyed G:R in 2004, and remains constant in 2005. Unfortunately, no field data were collected in stand B in 2004 and so no comparable field based G:R is available for 2005. Based on 2003 field survey however, we know that the G:R was 4 in

1 2003 – indicating that the population in this stand has been steadily increasing in past
2 years (Carroll et al., 2006b).

3
4 Figure 6 provides an example of segment 42414 in stand B. This segment had an area of
5 0.14 ha and contains approximately 73 trees (as estimated by the LM filter approach).
6 ΔRGI_{2004} indicated that 1% of the segment, or 1 tree had red attack damage in 2004.
7 By 2005, the mountain pine beetle population in stand B had increased dramatically and
8 ΔRGI_{2005} indicated that 33% of the segment area, or approximately 24 new trees had
9 red attack damage. The G:R for 2004 was therefore 24:1. This level of increase is
10 extreme and theoretically improbable – emphasizing the need to estimate the average G:R
11 over a larger spatial unit (British Columbia Ministry of Forests, 1995). In 2006, the rate
12 of red attack expansion decreased from 2005, with 11% of the stand or 8 additional trees
13 having red attack damage.

14
15 <Insert Figure 6 about here>

1 **Discussion**

2 The different viewing geometry and illumination conditions for each of the images used
3 in this study, as illustrated in Figure 3 and 6, confounded efforts to track individual trees
4 through time; images in 2003 and 2004 were acquired with fairly similar parameters,
5 whereas the 2005 image has a very different solar azimuth and in-track view angle (Table
6 2). For the establishment of a monitoring system intended to cover large areas,
7 differences in viewing and illumination geometry is an issue, not only temporally but also
8 spatially. Furthermore, the cost of acquiring high spatial resolution data over large areas
9 can be prohibitive, and increases overhead with the processing requirements of multiple
10 scenes over a large area. The authors therefore recommend that the approach presented in
11 this paper be implemented through a sampling protocol, whereby an area of interest is
12 stratified using data appropriate for identifying areas with a high likelihood of beetle
13 attack (*e.g.*, leading species, age, elevation, Landsat red attack maps, and etcetera).
14 Satellite sample plots could then be established (8km by 8km), and QuickBird or some
15 other high spatial resolution data source acquired over these areas. Further, it should be
16 stressed, as is evident in Figure 5, that the geometric match between scenes is good, it is
17 the interaction of the sun / surface / sensor geometry with the forest structural conditions
18 that results in the unique manifestation of the forest and the subsequent image processing
19 and tree-to-tree matching difficulties (Wulder et al., 2004a).

20
21 For local scale characterization with high spatial resolution data, high levels of precision
22 are required to accurately co-register images (Stow, 1999) and similarly, to co-register
23 image outputs with field surveys (Weber, 2006; Weber et al., 2007). Others have
24 suggested taking imagery into the field to ensure survey data and remotely sensed data
25 are properly aligned as the data is collected (Sawaya et al., 2003). Unfortunately, field
26 and image data are not often collected in this manner, with field data sometimes
27 originating from a different project (and therefore collected at a different time, with
28 different objectives). Therein lies the appeal of object based approaches; generalization to
29 a smaller (and often meaningful) spatial unit allows positional ambiguity to be accounted
30 for. In the case of high spatial resolution data, these segments often represent individual
31 trees or small groups of trees. In the case of mountain pine beetle, the timing of data
32 collection is particularly problematic, due to the specific bio-window within which
33 survey data can be collected (Wulder et al. 2006b).

34
35 It must also be reiterated that there are data sources and procedures that are used
36 operationally to address the G:R information need. Coarse level aerial overview surveys
37 are conducted province-wide on an annual basis. The locations of damage identified from
38 these surveys are then used to direct more detailed aerial surveys, which in turn are used
39 to direct the location of ground surveys, such as those used to generate estimates of G:R.
40 Furthermore, these estimates can often be generated relatively quickly, whereas the
41 approach presented herein is primarily retrospective, since it requires at least three dates
42 of imagery to estimate G:R. This approach does however provide unique benefits for
43 areas where, for whatever reason, there was a temporal gap in data collection, field crews
44 are unable to access the site, or there is a need to assess the efficacy of previously
45 implemented management practices. Also – this approach is a consistent and repeatable
46 method for generating G:R over larger areas, where economies of scale would make this

1 approach more affordable than the deployment of field crews. The authors are not
2 proposing that this data would supplant other sources, but rather could supplement and
3 augment these other pieces of information.

4
5 While it was possible to successfully acquire multi-year high spatial resolution data for
6 this study area, there are risks associated with the assumption that quality imagery will be
7 available for the specific bio-window desired. Commercially available high resolution
8 satellite data is not routinely archived, so sensors must be tasked to acquire data over area
9 of interest. As demand for this data increases, it becomes increasingly difficult to get data
10 when and where it is required. Typically, an image order must be put in months in
11 advance. Particular requests may be made to limit viewing angles, solar conditions
12 (collection date range), cloud cover, mosaicking of multiple over-passes, etcetera. While
13 these types of parameters may be customized, any limitations to the conditions under
14 which an image may be collected decreases the likelihood that imagery will be
15 successfully obtained.

Conclusion

In this paper we presented an approach for estimating rates of mountain pine beetle population change, via G:R, with high spatial resolution remotely sensed data. The average G:R is an important source of information for forest managers who need to monitor changes in beetle populations over time. Using both the multispectral and panchromatic bands from multiple dates of QuickBird data, we were able to characterize red attack damage and estimate stem counts. By combining these two pieces of information, the number of red attack trees could be estimated for any given year, and then by backcasting these estimates, G:R ratios could be generated retrospectively. This method also gives an estimate of the population-at-risk (total stems), something that is typically not collected when conducting ground surveys. The challenges and risks associated with using high spatial resolution remotely sensed data to monitor forest damage over time have also been enumerated. The approach presented would allow G:R to be generated over large areas, providing a synoptic view of spatial variation in G:R which then in turn could be used for strategic planning of landscape level beetle management practices and/or to assess the efficacy of strategies and management practices implemented.

1 **Acknowledgements**

2 We thank Dr. Allan Carroll, of the Canadian Forest Service, for providing the field data
3 used for this project. Dr. Geoff Hay, of the University of Calgary, is thanked for valuable
4 discussions that fortified our project design. The insightful and constructive comments of
5 the reviewers are very much appreciated. Elements of this project were funded by the
6 Government of Canada through the Mountain Pine Beetle Initiative, a 6-year, \$40 million
7 program administered by Natural Resources Canada, Canadian Forest Service
8 (<http://mpb.cfs.nrcan.gc.ca>).

List of Figures

Figure 1. Study area located at Angstad Creek, 25km south of Merritt, British Columbia. Stands A, B, and C are dominated by mature lodgepole pine - the primary host of the mountain pine beetle. The image on the right is a high resolution aerial photography (40 cm pixel) collected in 2003.

Figure 2. QuickBird 2.4 multispectral imagery over the study area.

Figure 3. Illustration of the different viewing geometry and illumination conditions under which the QuickBird multispectral imagery used for this study was collected.

Figure 4. A schematic of how multi-date QuickBird multispectral and panchromatic imagery are used to generate segments, estimates of tree counts, and detect red attack damage; all necessary components required to estimate a green attack-to-red attack ratio (G:R).

Figure 5. Schematic of process used to estimate retrospective G:R.

Figure 6. Stand B, segment 42414 (yellow); time-series of QuickBird multispectral imagery. Segments were generated using the 2003 QuickBird multispectral image.

List of Tables

Table 1. A summary of mensurational attributes for stands A, B, and C.

Table 2. Acquisition parameters for QuickBird imagery.

Table 3. Accuracy assessment of red attack detection.

Table 4. Total number of trees per stand, as estimated by local maxima filtering and field survey. The ANOVA was calculated using each year's stem count/segment, for all segments in each stand.

Table 5. Estimated G:R from field survey and remote sensing methods.

References

Allen, T.R. & Kupfer, J.A. (2001) spectral response and spatial pattern of Fraser fir mortality and regeneration, Great Smoky Mountains, USA. *Plant Ecology*, 156, 59-74.

Asner, G.P., & Warner, A.S. (2003) Canopy shadows in IKONOS satellite observations of tropical forests and savannas. *Remote Sensing of Environment*, 87, 521-533.

American Society for Testing & Materials (ASTM) (2000). Standard Extraterrestrial Solar Spectrum Reference, E-490-00. (Accessed online August 14, 2007). <http://rredc.nrel.gov/solar/spectra/am0/ASTM2000.html>.

Amman, G.D. (1982). The mountain pine beetle – Identification, biology, causes of outbreaks, and entomological research needs. Pages 7-12 in Shrimpton, D.M. (Ed.) *Proceedings of the Joint Canada/USA Workshop on Mountain Pine Beetle Related Problems in Western North America*, Environment Canada, Canadian Forestry Service, Pacific Forest Research Centre, Victoria, Information Report BC-X-230, 87 p.

Birk, R. J., Stanley, T., Snyder, G. I., Hennig, T.A., Fladeland, M.M., & Policelli, F. (2003). Government programs for research and operational uses of commercial remote sensing data. *Remote Sensing of Environment*, 88, 3-16.

British Columbia Ministry of Environment, Lands, and Parks. (1992) British Columbia Specifications and Guidelines for Geomatics. Content Series Volume 3: Digital Baseline Mapping at 1:20 000. Geographic Data BC, Victoria, British Columbia. (Accessed online August 14, 2007). <http://ilmbwww.gov.bc.ca/bmgs/pba/trim/specs/specs20.pdf>

British Columbia Ministry of Forests. (1995). *Bark beetle management guidebook* (Forest Practices Code). Forest Practices Branch, Victoria, British Columbia. 45 p.

Carroll, A.L., Taylor, S.W., Régnière, J., & Safranyik, L. (2004). Effects of climate and climate change on the mountain pine beetle. Pages 223-232 in T.L. Shore, J.E. Brooks, and J.E. Stone (Editors). *Proceedings of the mountain pine beetle symposium: challenges and solution*. October 30-31, 2003, Kelowna, British Columbia, Canada. Canadian Forest Service, Pacific Forestry Centre, Information Report BC-X-399. 298p.

Carroll, A.L., Régnière, J., Logan, J.A., Taylor, S.W., Bentz, B.J., & Powell, J.A. (2006a) *Impacts of climate change on range expansion by the mountain pine beetle*. Mountain Pine Beetle Initiative Working Paper 2006-14. 20p.

Carroll, A.L., Aukema, B.H., Raffa, K.F., Linton, D.A., Smith, G.D., & Lindgren, B.S. (2006b). Mountain pine beetle outbreak development: The endemic – incipient epidemic transition. Mountain Pine Beetle Initiative Project #1.03 Final Report. 22p.

Clarke, D.B., Castro, C.S., Alvarado, L.D.A. & Read, J.M. (2004). Quantifying mortality of tropical rain forest trees using high-spatial resolution satellite data. *Ecology Letters*, 7, 52-59.

Coops, N.C., Johnson, M., Wulder, M.A., & White, J.C. (2006). Assessment of QuickBird high spatial resolution imagery to detect red attack damage due to mountain pine beetle infestation. *Remote Sensing of Environment*, 103, 67-80.

Coops, N.C., Wulder, M.A., & White, J.C. (2006). Integrating remotely sensed and ancillary data sources to characterize a mountain pine beetle infestation. *Remote Sensing of Environment*, 105, 83-97.

Desclée, B., Bogaert, P., & Defourny, P. (2006). Forest change detection by statistical object-based method. *Remote Sensing of Environment*, 102, 1-11.

DigitalGlobe Inc. (2007). *QuickBird Imagery Products: Product Guide*. Revision 4.7.3 (Accessed online August 14, 2007).

<http://www.digitalglobe.com/downloads/QuickBird%20Imagery%20Products%20-%20Product%20Guide.pdf>

Eng, M. (2005) Mountain pine beetle projections: answering questions or making moonshine. *Forum*, 12: 19.

Gong, P. & Xu, B. (2003). Remote sensing of forests over time: change types, methods and opportunities. Pages 301-333 (Chapter 11) in Wulder, M.A. and Franklin, S.E. (editors). *Remote Sensing of Forest Environments: Concepts and Case Studies*. Boston: Kluwer. 519 p.

Henigman, J., Ebata, T., Allen, E., Holt, J., & Pollard, A. (Editors) (1999). Field Guide to Forest Damage in British Columbia, 2nd Edition. Canadian Forest Service and British Columbia Ministry of Forests, Victoria, British Columbia. FRDA II Rep. No. 17. 348 p.

Im, J. & Jensen, J.R. (2005). A change detection model based on neighborhood correlation image analysis and decision tree classification. *Remote Sensing of Environment*, 99, 326-340.

Johansen, K., & Phinn, S. (2006). Mapping Structural Parameters and Species Composition of Riparian Vegetation Using IKONOS and Landsat ETM+ Data in Australian Tropical Savannas. *Photogrammetric Engineering and Remote Sensing*, 72, 71-80.

Key, T., Warner, T.A., McGraw, J.B., & Fajvan, M.A. (2001). A Comparison of Multispectral and Multitemporal Information in High Spatial Resolution Imagery for Classification of Individual Tree Species in a Temperate Hardwood Forest. *Remote Sensing of Environment* 75, 100-112.

- Lambin, E.F., & Strahler, A.H. (1994). Change vector analysis in multitemporal space: A tool to detect and categorize land-cover change processes using high temporal resolution satellite data. *Remote Sensing of Environment*, 48, 231-244.
- Liu, D., Kelly, M., & Gong, P. (2006). A spatial-temporal approach to monitoring forest disease spread using multi-temporal high spatial resolution imagery. *Remote Sensing of Environment*, 101, 167-180.
- Logan, J.A., & Powell, J.A. (2001). Ghost forests, global warming, and the mountain pine beetle (Coleoptera: Scolytidae). *American Entomologist*, 47, 160-173.
- Mas, J.F. (1999). Monitoring land-cover changes: A comparison of change detection techniques. *International Journal of Remote Sensing*, 20, 139-152.
- Möller, M., Lymburner, L., & Volk, M. (2007). The comparison index: A tool for assessing the accuracy of image segmentation. *International Journal of Applied Earth Observation and Geoinformation*, 9, 311-321.
- Pouliot, D.A., King, D.J., Bell, F.W., & Pitt, D.G. (2002). Automated tree crown detection and delineation in high-resolution digital camera imagery of coniferous forest regeneration. *Remote Sensing of Environment*, 82, 322-334.
- Rogan, J. & Miller, J. (2006) Integrating GIS and forest disturbance for mapping forest disturbance and change. Pages 133-172 (Chapter 6) in Wulder, M.A. and Franklin, S.E. (Editors) *Understanding Forest Disturbance and Spatial Pattern: Remote Sensing and GIS Approaches*. Boca Raton, FL: CRC Press. 246 p.
- Safranyik, L. 2004. Mountain pine beetle epidemiology in lodgepole pine. Pages 33-40 in *Mountain Pine Beetle Symposium: Challenges and Solutions*, October 30-31, 2003. T.L. Shore, J.E. Brooks, J.E. Stone (editors). Kelowna, British Columbia, Canada. Natural Resources Canada, Canadian Forest Service, Pacific Forestry Centre, Victoria, British Columbia, Information Report BC-X-399. 298p.
- Safranyik, L. & Carroll, A.L. (2006). The biology and epidemiology of the mountain pine beetle in lodgepole pine forests. Pages 3-66 (Chapter 1) in Safranyik, L. and Wilson, W.R. (Editors). *The mountain pine beetle: a synthesis of biology, management, and impacts on lodgepole pine*. Natural Resources Canada, Canadian Forest Service, Pacific Forestry Centre, Victoria, British Columbia, 304p.
- Sawaya, K.E., Olmanson, L.G., Heinert, N.J., Brezonik, P.L., & Bauer, M.E. (2003). Extending satellite remote sensing to local scales: land and water resource monitoring using high-resolution imagery. *Remote Sensing of Environment*, 88, 144-156.
- Shore, T.L., & Safranyik, L. (1992). *Susceptibility and risk rating systems for the mountain pine beetle in lodgepole pine stands*. Forestry Canada, Pacific and Yukon

Region, Pacific Forestry Centre, Victoria, British Columbia. Information Report BC-X-336. 17p.

Skakun, R.S., Wulder, M.A., & Franklin, S.E. (2003). Sensitivity of the thematic mapper enhanced wetness difference index to detect mountain pine beetle red attack damage. *Remote Sensing of Environment*, 86, 433-443.

Smith, M.J., & Wise, S.M. (2007). Problems of bias in mapping linear landforms from satellite imagery. *International Journal of Applied Earth Observation and Geoinformation*, 9, 65-78.

Stow, D.A. (1999) Reducing the effects of misregistration on pixel-level change detection. *International Journal of Remote Sensing*, 20, 2477-2483.

Taylor, S.W. & Carroll, A.L. (2004). Disturbance, forest age, and mountain pine beetle outbreak dynamics in BC: A historical perspective. Pages 41-51 in T.L. Shore, J.E. Brooks, and J.E. Stone (Editors). *Proceedings of the mountain pine beetle symposium: challenges and solution*. October 30-31, 2003, Kelowna, British Columbia, Canada. Canadian Forest Service, Pacific Forestry Centre, Information Report BC-X-399. 298p.

Townshend, J.R.G., Justice, C.O., Gurney, C., & McManus, J. (1992). The impact of misregistration on change detection. *IEEE Transactions on Geoscience and Remote Sensing*, 30, 1056-1060.

Walter, V. (2004). Object-based classification of remote sensing data for change detection. *ISPRS Journal of Photogrammetry and Remote Sensing*, 58, 225-238.

Weber, K.T. (2006). Challenges of integrating geospatial technologies into rangeland research and management. *Rangeland Ecology and Management*, 59, 38-43.

Weber, K.T., Théau, J.T., Serr, K. (2007). Effect of coregistration error on patchy target detection using high-resolution imagery. *Remote Sensing of Environment*, doi: 10.1016/j.rse.2007.06.016

Westfall, J. (2007). *2006 summary of forest health conditions in British Columbia*. Forest Practices Branch, British Columbia Ministry of Forests and Range, 73p.

White, J.C., Wulder, M.A., Brooks, D., Reich, R., & Wheate, R.D. (2005). Detection of red attack stage mountain pine beetle infestation with high spatial resolution satellite imagery. *Remote Sensing of Environment*, 96, 340-351.

Wu, J., Wang, D., and Bauer, M.E. (2005). Image-based atmospheric correction of QuickBird imagery of Minnesota cropland. *Remote Sensing of Environment*, 99, 315-325.

Wulder, M., Niemann, K.O., & Goodenough, D.G. (2000). Local maxima filtering for the extraction of tree locations and basal area from high spatial resolution imagery. *Remote Sensing of Environment*, 73, 103-114.

Wulder, M.A., Hall, R.J., Coops, N.C., Franklin, N.C. (2004a). High spatial resolution remotely sensed data for ecosystem characterization. *BioScience*, 54, 511-521.

Wulder, M.A., White, J.C., Niemann, K.O., & Nelson, T. (2004b). Comparison of airborne and satellite high spatial resolution data for the identification of individual trees with local maxima filtering. *International Journal of Remote Sensing*, 25, 2225-2232.

Wulder, M.A., Dymond, C.C., White, J.C., & Erickson, B. (2006a). Detection, mapping, and monitoring of the mountain pine beetle. Pages 123-154 (Chapter 5) in Safranyik, L. and Wilson, W.R. (Editors). *The mountain pine beetle: a synthesis of biology, management, and impacts on lodgepole pine*. Natural Resources Canada, Canadian Forest Service, Pacific Forestry Centre, Victoria, British Columbia, 304p.

Wulder, M.A., Dymond, C.C., White, J.C., Leckie, D.G. & Carroll, A.L. (2006b). Surveying mountain pine beetle damage of forests: A review of remote sensing opportunities. *Forest Ecology and Management*, 221, 27-41.

Wulder, M.A., White, J.C., Bentz, B., Alvarez, M.F. & Coops, N.C. (2006c). Estimating the probability of mountain pine beetle red attack damage. *Remote Sensing of Environment*, 101, 150-166.

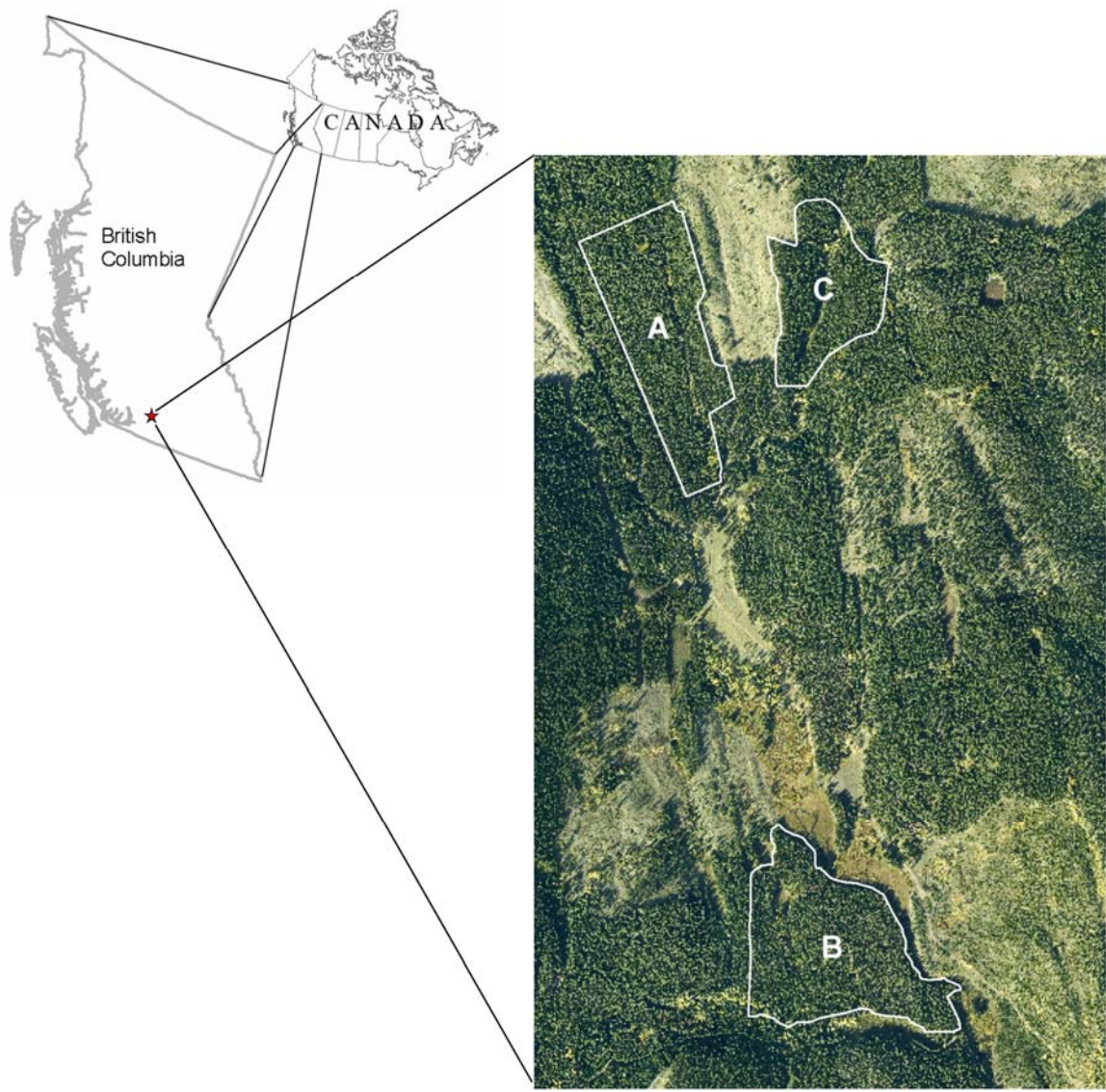


Figure 1

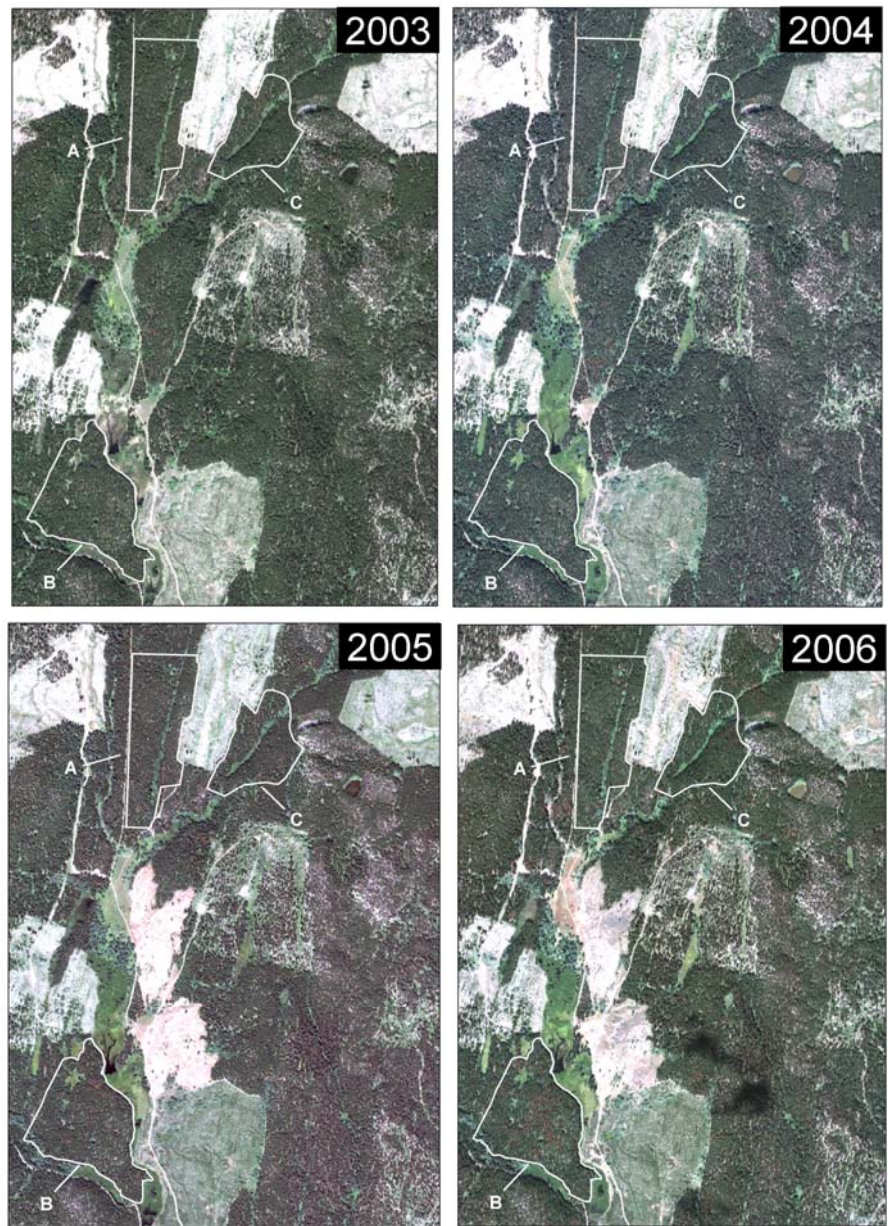


Figure 2

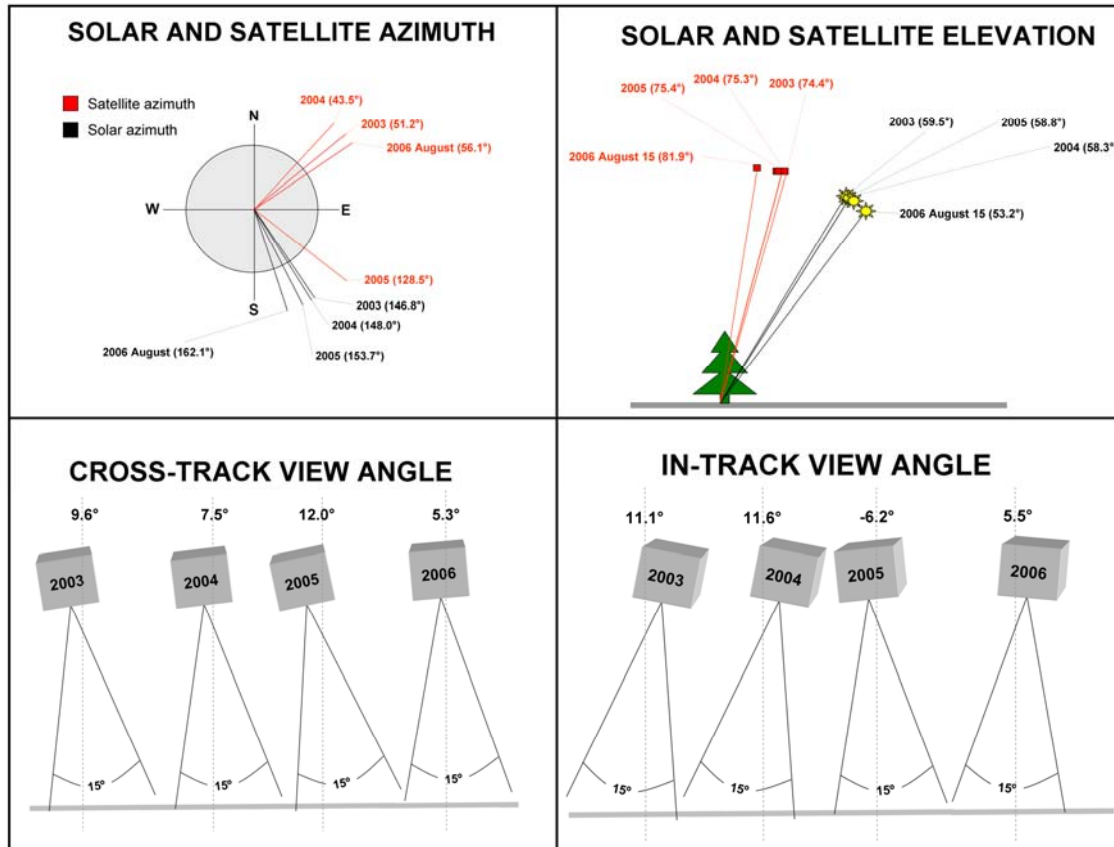


Figure 3

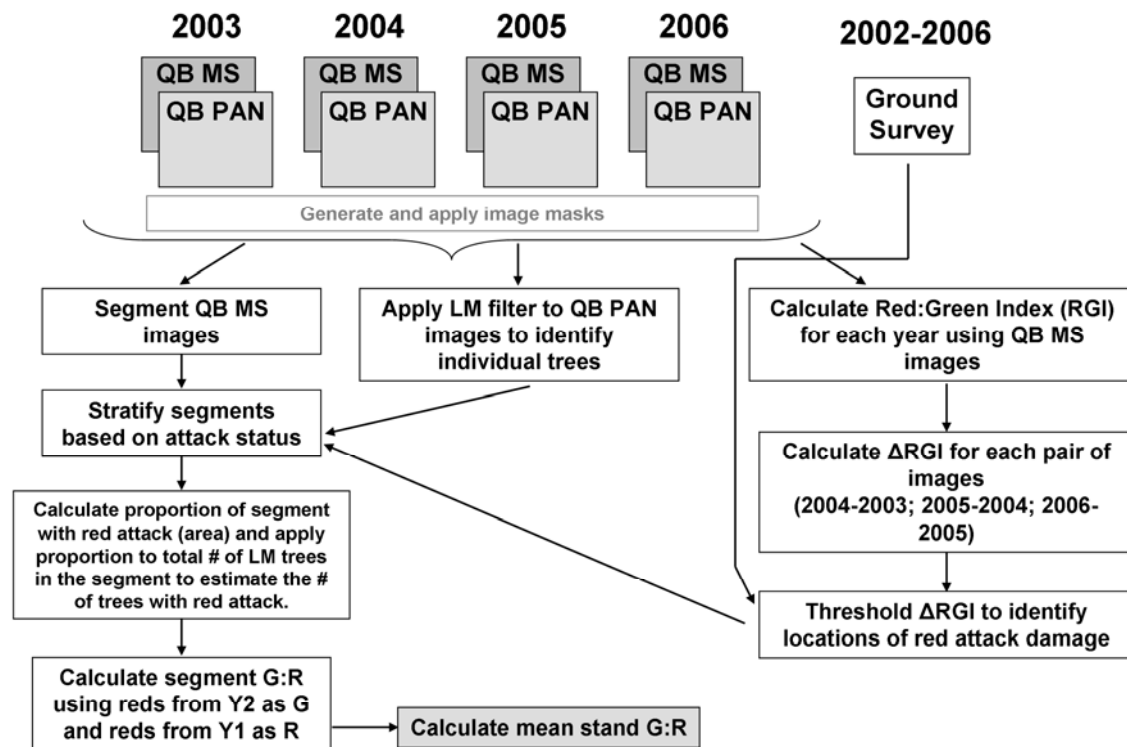


Figure 4

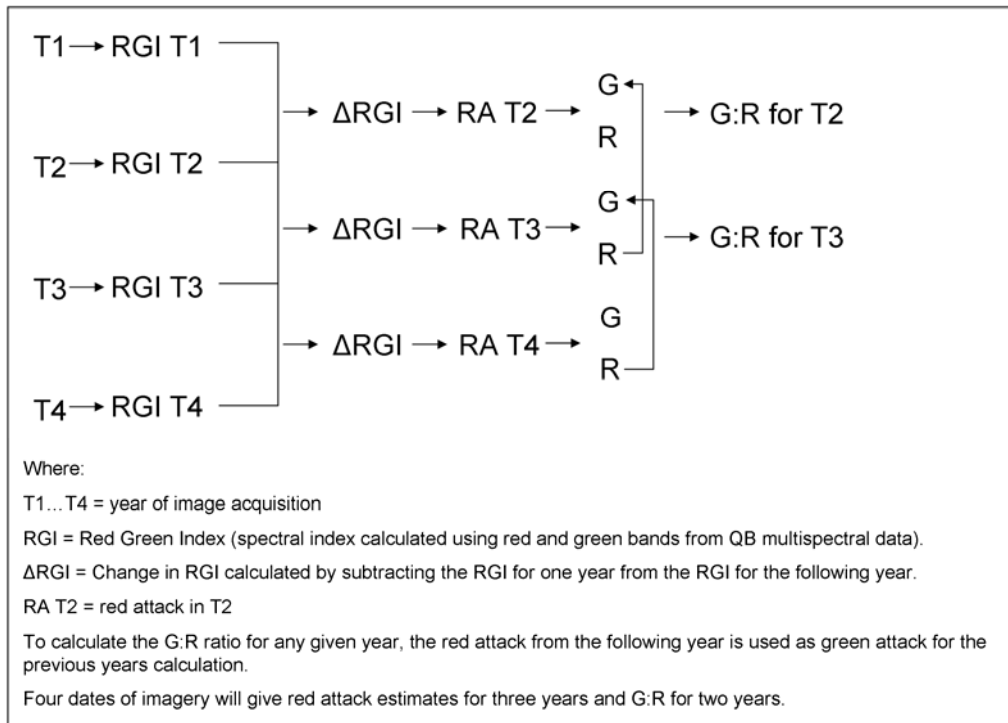


Figure 5

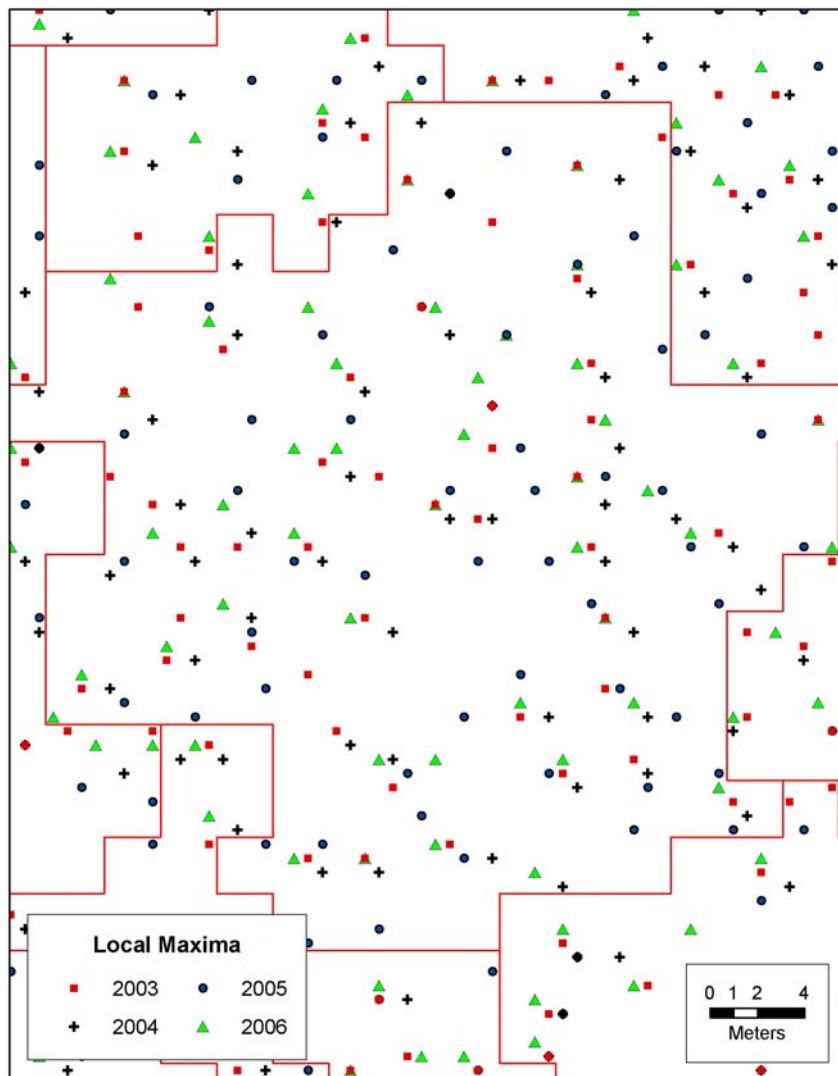


Figure 6

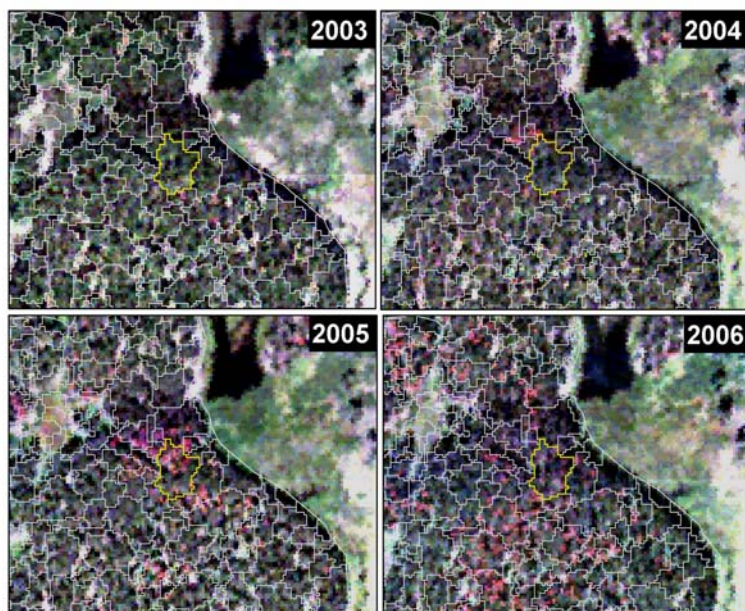


Figure 7

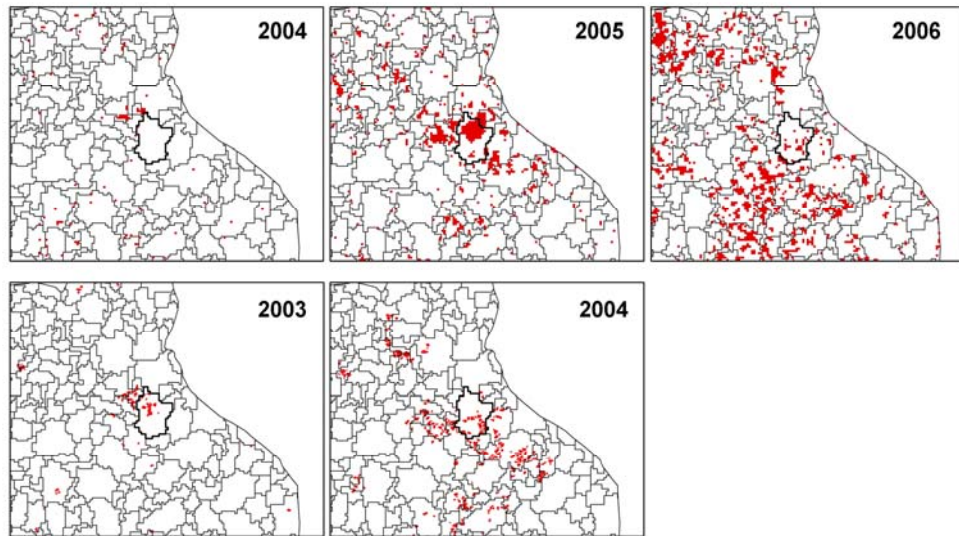


Figure 8