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15
16 **Fine-Spatial Scale Predictions of Understory Species Using Climate and**
17 **LiDAR-Derived Terrain and Canopy Metrics.**
18

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23 **ABSTRACT**

24 Food and habitat resources are critical components wildlife management and
25 conservation efforts. Grizzly bear (*Ursus arctos*) have diverse diets and habitat
26 requirements particularly for understory plant species which are impacted by human
27 developments and forest management activities. In this paper use Light Detection and

28 Ranging (LiDAR) data to predict the occurrence of 14 understory plant species
29 relevant to bear forage and compare our predictions to more conventional climate- and
30 land cover-based models. We use boosted regression trees to model each of the 14
31 understory species across 4435 km² using occurrence (presence-absence) data from
32 1,941 field plots. Three sets of models were fitted: climate-only, climate and basic land
33 and forest cover from Landsat 30m imagery, and third a climate and LiDAR-derived
34 model describing both the terrain and forest canopy. Resulting model accuracies varied
35 widely among species. Overall, 8 of 14 species models were improved by including the
36 LiDAR-derived variables. For climate-only models, mean annual precipitation and frost-
37 free period were most important variables. With inclusion of LiDAR-derived attributes,
38 Depth to water table, terrain-intercepted annual radiation, and elevation were most
39 often selected. This suggests fine-scale terrain conditions affect the distribution of the
40 studied species more than canopy conditions.

41

42 Keywords:

43 LiDAR, Species distribution modelling, Grizzly bear (*Ursus arctos*), Understory
44 vegetation.

45

46 **1. Introduction**

47 Developing a comprehensive understanding of food and habitat resource use for large
48 mammals is a critical component for their conservation and management, as well as for
49 assessing cumulative effects of human impacts and estimating habitat-based carrying
50 capacities for species of management concern (Gordon et al., 2004). This is particularly
51 true for grizzly bear (*Ursus arctos*) populations in western Alberta, Canada where resource
52 extraction is expanding (e.g., forestry, exploration and mining, and urban expansion) along
53 with human use of the landscape, resulting in concern for the long term survival of the
54 species in this region (Clark et al., 1996; Nielsen et al., 2006; S. Nielsen et al., 2004; Nielsen
55 et al., 2008).

56
57 Grizzly bears are considered habitat generalists (Schwartz et al., 2003) with diverse,
58 seasonal diet and habitat requirements. Within this region, optimal habitat is a mosaic of
59 forested and non-forested areas (S. E. Nielsen et al., 2004a). Large gaps within forest
60 stands, alpine meadows, and areas regenerating after fire offer bears an abundance of
61 understory species, including seasonal fruits, ants, ungulates, green herbaceous vegetation,
62 roots, other subterranean foods, and grasses which can form a major part of the species
63 diet for at least some part of the year (Martin, 1983; Munro et al., 2006; Zager et al., 1983).
64 Forest harvesting can provide similar habitat, as regenerating stands share many
65 understory species. As a result, forest cutblocks provide an alternate habitat resource to
66 otherwise open areas (Benn and Herrero, 2002; S. Nielsen et al., 2004). However, ongoing
67 forestry and resource extraction activity is also a threat to grizzly bears; management
68 agencies within Alberta are actively trying to balance economic development needs with
69 the conservation needs of the species (Wilkinson et al., 2008). Key to this conservation
70 priority is a comprehensive understanding of how food resource availability and
71 abundance may vary in response to forest management, where the ultimate goal is to
72 better understand the observed habitat use of bears in western-central Alberta, Canada (S.
73 E. Nielsen et al., 2004b).

74
75 Grizzly bears have three distinct foraging seasons: hypophagia, early hyperphagia, and late
76 hyperphagia (Nielsen et al., 2006). During hypophagia, grizzly bears in our study area feed

77 on the roots of *Hedysarum* spp. (sweetvetch) and other early herbaceous material. During
78 early hyperphagia, their diet extends to green herbaceous material such as *Heracleum*
79 *lanatum* (cow-parsnip) and *Equisetum* spp. (horsetail), while in the later season berries
80 such as *Shepherdia canadensis* (buffalo berry) and *Vaccinium* spp. (huckleberry, blueberry,
81 and lingonberry) make up the majority of their diet. As fruit availability declines in the fall,
82 grizzly bears once again dig for sweetvetch roots (Munro et al., 2006; Nielsen et al., 2010,
83 2006, 2005). While animal matter and insects are an important food resource for grizzly
84 bears during spring and early summer, the variety of vegetable matter (including roots,
85 forbs, and fruit) makes up the majority of their diet between late June and early October.

86 A comprehensive understanding of the horizontal distribution of understory flora is
87 required to accurately predict wildlife-habitat relationships (Linderman et al., 2005;
88 Lindzey and Meslow, 1977; MacArthur and MacArthur, 1961; Roughgarden et al., 1991).
89 Neglecting to consider the influence of understory vegetation in broad-scale habitat studies
90 has limited the relevance of fine-scale monitoring of animals (e.g., radio collar movement
91 data) for accurate conservation and management planning (Linderman et al., 2005).
92 Remote sensing vegetation studies provide the opportunity to bridge this gap.

93 The distribution of these plant species is influenced by local landscape conditions and
94 canopy structure (S. E. Nielsen et al., 2004a). For example, many berry species have higher
95 yields in open canopies, while plant species (e.g., clover and dandelions) thrive in recently
96 opened areas such as forest clearings associated with anthropogenic disturbance. The most
97 common approaches to species abundance and occurrence modelling rely on empirical
98 correlations with environmental variables to develop “niche” or “bioclimatic envelope”
99 models (Austin, 1985; Iverson and Prasad, 1998; McKenzie et al., 2003; Thuiller et al.,
100 2008). These models usually empirically relate presence / absence data to environmental
101 variables, most often with climate (but sometimes including soil and physiographic
102 features), using an array of statistical methods including multiple regression techniques,
103 neural networks, and regression tree analysis (Iverson and Prasad, 2001). Climate surfaces
104 are effective predictors of broad scale patterns and a number of studies have linked climate
105 and land cover information derived from optical remote sensing data (Nielsen et al., 2010,
106 2003), such as forest cover classes derived from Landsat Thematic Mapper imagery

107 (Mcdermid, 2005). Land cover attributes derived from optical remote sensing have been
108 shown to increase the predictive power of models, but they are still unable to fully
109 represent the fine scale processes related to stand and canopy conditions, particularly in
110 areas where forest management regularly changes the structure of the forest.

111 Light detection and ranging (LiDAR), is an increasingly well understood and established
112 remote sensing technology which is able to detect both topographic and canopy features
113 within forest ecosystems at previously unavailable levels of accuracy (Wulder et al., 2008).
114 Airborne LiDAR systems function by emitting and receiving laser energy that measure
115 distance to target surfaces. Laser systems, when combined with Global Positioning Systems
116 (GPS) and orientation systems (e.g., Inertial Navigation Systems), allow the location of
117 surfaces intercepted by the beam to be precisely computed (Gaveau and Hill, 2003) with
118 vertical and horizontal accuracies approximately within 40 cm (Davenport et al., 2004).
119 Especially for understory applications, a key advantage of LiDAR over conventional optical
120 remote sensing imagery (such as Landsat, or high spatial resolution imagery, like Quickbird
121 and Worldview) is the ability to describe the canopy structure in three dimensions
122 including information on areas otherwise obscured by the tree canopy.

123 LiDAR data has shown promise in estimating understory structural attributes despite there
124 being a limited number of studies of which most are associated with fire fuel prediction.
125 Seielstad and Queen(2003) investigated the potential of using LiDAR data to quantify fuel
126 for a widely applied fuel model. Riaño et al. (2003)used cluster analysis, based on the
127 minimum Euclidean distance, to distinguish understory from overstory returns in a mixed
128 conifer and deciduous two-tiered forest. Maltamo et al. (2005) applied regression models
129 to estimate the number and mean height of suppressed understory trees in a boreal forest
130 using LiDAR data with r^2 values of 0.87 and 0.76, respectively.

131 This study aims to evaluate the integration of LiDAR data into large area studies on species
132 distribution. To do so we assess the effectiveness of using LiDAR remote sensing data to
133 predict species occurrence for 14 understory plant species relevant to bear habitat and
134 food. We compare these to more conventional climate- and land cover-based models of
135 species occurrence to evaluate whether LiDAR data improves our understanding of the

136 local distribution of bear foods. We compiled, and derived a number of topographic and
137 canopy metrics from airborne LiDAR data, and combined them with climate and land cover
138 data, to model the distribution of 14 key plant species in the Alberta foothills region. Model
139 performance and spatial patterns of the three sets of models were compared. In addition
140 we assessed variable importance within the models to increase our understanding of the
141 main environmental drivers on plant distribution in the study area and our ability to
142 capture those drivers in different data sources.

143

144 **2. Materials and Methods**

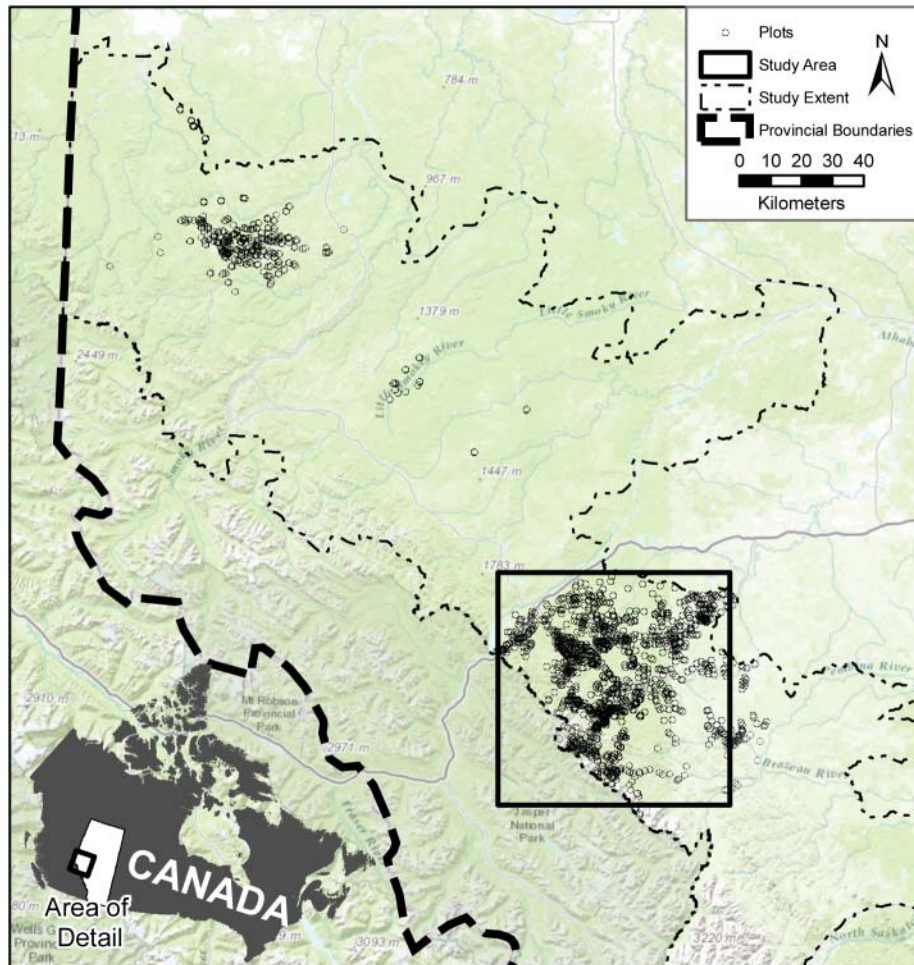
145 ***2.1 Study area:***

146 The study area is situated in the Rocky Mountains and Foothills area in western Alberta
147 stretching from the North Saskatchewan River (Highway 11) in the south to Grande Prairie
148 in the north, with elevations ranging between 600m and 3300m. Variations in climate and
149 topography generate a vegetation species gradient from the south west to the north east.
150 Higher elevation and rugged, conifer-dominated forests of the Subalpine and Upper
151 Foothills transitions to a lower elevation, gently rolling terrain that is characteristic of the
152 Lower Foothills and Central Mixedwood subregions. Generally the overstory structure of
153 the stands is relatively simple, with regeneration after large wildfires common. As a result
154 stand structure is relatively constant with respect to age (Cieszewski and Bella, 1989;
155 Kirby, 1975) The dynamics of forest stand height and cover increase quickly when the
156 stand is younger, slowing considerably when the stand is older.

157 ***2.2 Plant distribution data***

158 Field data were collected between the years 2001 and 2008 as part of the Foothills
159 Research Institute Grizzly Bear Project (Figure 1). In total, 2,338 plots were sampled as
160 described in detail by Nielsen et al. (2010; 2004), within a study area of 4435 km².
161 Vegetation was sampled at 5 places (0,5m²) on 20m transect. The transect center was
162 registered by GPS with an accuracy within 10m. To avoid issues with the temporal
163 differences between collection of the plot and remotely sensed data, we utilised a Landsat-
164 derived disturbance layer (Hilker et al., 2009) which provided information on recent

165 harvest and fire events within the region. All plots which occurred in recently disturbed
 166 areas and areas disturbed between the plot visit and remote sensing data acquisition were
 167 excluded from the analysis, as were plots outside of the LiDAR coverage (429 excluded,
 168 1,944 plots used). Fourteen species formed the basis of the analysis including species
 169 important for root digging, herbivory, and fruiting (Table 1).



170
 171 **Figure 1: Overview of the study area and plot locations. The black square indicates the area**
 172 **displayed in species specific figures (Figure 2).**

173
 174 *Table 1: Focus understory plant species*

Scientific name	Common name	Plots Present
Root digging <i>Hedysarum alpinum</i>	alpine sweetvetch	7.6%

<i>Lathyrus ochroleucus</i>	creamy peavine	5.9%
Herbivory		
<i>Equisetum arvense</i>	Horsetail	21.3%
<i>Heracleum lanatum</i>	cow-parsnip	6.2%
<i>Taraxacum officinale</i>	dandelion	18.2%
Frugivory		
<i>Arctostaphylos uva-ursi</i>	bearberry	12.9%
<i>Fragaria virginiana</i>	wild strawberry	38.3%
<i>Rosa acicularis</i>	prickly rose	32.5%
<i>Rubus idaeus</i>	wild red raspberry	13.5%
<i>Rubus pedatus</i>	five leaf bramble	9.4%
<i>Shepherdia Canadensis</i>	buffaloberry	8.7%
<i>Vaccinium caespitosum</i>	dwarf blueberry	24.4%
<i>Vaccinium vitis-idaea</i>	lingonberry	38.8%
<i>Viburnum edule</i>	highbush cranberry	8.8%

175

176 **2.3 Environmental Covariates**

177 *Climate:* Spatial predictors of the region included a number of seasonal and annual climate
 178 variables which were derived from long term (1961-1990) climate records, using the
 179 CLIMATE-WNA (Wang et al., 2012) which uses a PRISM down-sampling (Daly et al., 1993)
 180 approach to create surfaces at a 500*500m resolution. These included mean maximum and
 181 minimum temperature, growing degree days (base 0°C), frost free period, mean annual
 182 precipitation during the growing season, and summer moisture index (Table 2).

183

184 *Table 2. Environmental covariates utilised in Boosted Regression Tree Modelling*

	Range	unit
Climate		
max mean maximum monthly temp.	10.3 – 22.6	°C
min mean minimum monthly temp.	-18.9 – -16.1	°C
degree days base 0°C	1134.0 – 2014.0	days * °C
frost free period	46.4 – 101.4	days
growing season precipitation	377.5 – 532.7	mm
mean annual precipitation	516.9 – 965.9	mm
summer moisture index	0.2 – 3.0	–
Forest		
landcover class (14 classes)	0 – 13	categorical
regenerating forest mask	0 – 1	binary
canopy cover	0.0 – 95.0	%

percent conifers	0.0 – 99.0	%
Lidar		
Max height above ground	0.1 – 35.1	meter
Mean height above ground	0.0 – 17.7	meter
05th percentile	-0.6 – 0.9	meter
50th percentile	0.0 – 21.0	meter
95th percentile	0.0 – 27.7	meter
fraction points above 2m	0.0 – 94.1	%
relative height ratio	0.0 – 0.7	–
Skewness	-2.2 – 21.4	–
standard deviation	0.0 – 11.2	meter
Elevation	858.9 – 2266.6	meter
Slope	0.1 – 40.3	degrees
Aspect	0.0 – 360.0	degrees
terrain solar index	0.9 – 2.0	–
canopy solar index	1935.0 – 2618.0	–
canopy and terrain solar index	2218.0 – 3810.0	–
Wet Area Map	0.0 – 257.0	meter

185

186 *Land and Forest Cover:* Landsat-derived land cover information was available for the study
187 region and included information on land cover, canopy cover (%), and percent of pixel
188 dominated by conifer overstory species (McDermid et al., 2009) The products were based
189 on Landsat images acquired between 2005 and 2009 and have a 30*30m resolution,
190 geolocation accuracy is typical within one pixel (Lee et al., 2004)

191

192 *LiDAR Data:* LiDAR data were provided by the Alberta Environment and Sustainable
193 Resource Department (AESRD), who compiled a globally unique compilation of LiDAR
194 datasets acquired from 2003 – 2008. The compiled LiDAR dataset covers the majority of
195 the forested areas of the Province of Alberta extending over 25 million hectares. The LiDAR
196 dataset was compiled by the Government of Alberta from a variety of sources including
197 forestry, mining and exploration companies. The extremely large area covered by the
198 compilation allow broad-scale environmental issues (such as species habitat relations) to
199 be addressed. Typical characteristics of the multiple data acquisitions were re multiple
200 return, small footprint, acquisition from a fixed wing platform with nominal post spacing of
201 approximately 0.75 points per square meter, vertical and horizontal accuracies are
202 typically within 40 cm (Davenport et al., 2004). To minimise the impact of different survey
203 configurations and acquisition dates (e.g., hit density, or leaf-on/off), the data were thinned
204 to produce a consistent 1m spacing dataset, which, despite being lower than many typical

205 LiDAR datasets (Wulder et al., 2008), ensured consistent density and coverage over the
206 entire 4435 km² study area. From the thinned LiDAR point cloud, a bare Earth DEM (Digital
207 Elevation Model) and a canopy height model were provided at 1m raster resolution. From
208 the bare Earth DEM, slope aspect and elevation were extracted for each plot location. A
209 suite of forest canopy metrics were then developed for each 25*25m pixel, including a
210 calculation of percentiles from 5 to the 95th in steps of 5%, where a given height percentile
211 was calculated as the height greater than a given percentage of LiDAR first returns (Means
212 et al., 2000) mean height, maximum height, fraction points above 2m, relative height
213 ratio(mean height/ max height), skewness of the percentile height, and standard deviation
214 of heights were also computed for each plot. In addition to the canopy and topographic
215 metrics, information on the annual radiation regime for the bare Earth DEM, canopy height,
216 and terrain and canopy elevation for each plot was calculated from the LiDAR data using a
217 hemispherical viewshed algorithm (Fu and Rich, 2002; Rich et al., 1994), which
218 incorporates extraterrestrial solar flux, the relative optical path (determined by the solar
219 zenith angle and elevation above sea level), the duration of a defined time interval, and the
220 effect of the surface orientation (Garnier and Ohmura, 1968). Lastly, a Wet-Areas Mapping
221 (WAM) layer was available, providing an estimate of depth to water table using the shape
222 and orientation of the terrain (White et al., 2012), the WAM was based on the same LiDAR
223 elevation models, created a 1m raster resolution and resampled to 25m. Input variables
224 used for the models are listed in Table 2. For the LiDAR derived variables we made a
225 selection capturing different aspects of terrain and vegetation cover while limiting the
226 overall number of variables and multi-collinearity, based on other studies including (Coops
227 et al., 2010; Ferster et al., 2009) Previous LiDAR approaches involving the direct detection
228 of understory structure (Martinuzzi et al., 2009; Wing et al., 2012) was not possible due to
229 insufficient point density and limitation in separating low vegetation and ground returns in
230 the compiled dataset. As a result, overstorey and terrain characteristics were used as
231 surrogate predictors of understory structure.

232

233 **2.4 Modelling: Boosted Regression Trees**

234 Distribution models were built for the 14 plant species using Boosted Regression Trees
235 from the 'gbm' package in R statistical software (R Development Core Team, 2013), it
236 follows the methods described in Friedman (Friedman, 2001, 2002). Boosted Regression
237 Tree modelling is a relatively new technique which is gaining popularity in the distribution
238 modelling community (Elith et al., 2008). Benefits include flexibility in combining different
239 types of variables (e.g., continuous, categorical, nominal), flexibility in statistical
240 distributions, and demonstrate high predictive power (Elith et al., 2008). Up to 1500
241 individual trees were fit with a 5 level tree depth and a learning rate of 0.005 to avoid over
242 fitting of collinear variables. The optimum number of trees was selected using a 10 fold
243 cross validation within the training data. To verify the selected model, we made a random
244 80-20 split of all plot data before the model building and calculated model fit using the
245 separated 20% of the plots. Model performance was assessed using the "Area under the
246 Receiver-Operator Characteristic Curve" (AUC) (Jiménez-Valverde, 2011) with values
247 ranging from 0.5 to 0.7 generally viewed as 'low' model accuracy, values between 0.7 and
248 0.9 considered 'good', and values greater than 0.9 considered 'high' model accuracy (Manel
249 et al., 2001; Swets, 1988). The kappa coefficient also was calculated, although disputed by
250 some (Pontius and Millones, 2011) it is a widely used metric useful particularly in
251 ecological research (see review by Monserud and Leemans(1992)). This statistic calculates
252 the proportion of specific agreement across presence and absent classes.

253

254 **3. Results**

255 Overviews of the three sets of models developed for the individual species in Table 3 show
256 a wide variety of model accuracy. Model AUC values ranged from 0.70 – 0.85, while K
257 statistic values ranged between 0.09 and 0.48 (i.e., poor to moderate, based on Landis and
258 Koch's (1977) thresholds for the K statistic). Apart from the three model sets shown, we
259 also tested models using LiDAR or Landsat based information only, but these had poor
260 performance with average validation AUC around 0.65 as they fail to capture the larger
261 scale patterns in the study area. The most accurately predicted species was *Hedysarum*
262 *alpinum* (sweet vetch) while the poorest was *Equisetum arvense* (horsetail). *Hedysarum*
263 *alpinum* is a critical spring root-digging resource for bears, whereas horsetail produces a

264 high-protein, succulent and herbaceous food resource at green-up (Table 3).

265 Overall, 8 of the 14 most accurate species models were developed using a combination of
266 climate and LiDAR-derived variables, with an average increase in AUC of 5% and the
267 greatest model improvement of up to 12% for *Arctostaphylos uva-ursi* (bearberry). For
268 three species, the most accurate model derived was from climate and broader scale land
269 and forest cover information, and three were equally supported.

270 Examining the spatial predictions of the species models, the differences in the spatial
271 resolution of the input parameters was apparent. Figure 2 shows the probability of
272 occurrence for a number of species for subset of the study area (*E.arvense* (horsetail),
273 *H.alpinum* (sweet vetch), *T.officinale* (dandelion), and *V.vitis-idea* (loganberry) based on the
274 3 different sets of variables. Overall, the coarser nature of the climate data (500m) results
275 in a coarse model output which is unable to reflect changes in forest patterns associated
276 with management or fine scale topographic features across the landscape. In contrast,
277 models developed using either the 30m Landsat-derived land cover or the LiDAR-derived
278 canopy and terrain information were much finer, allowing management and topographic
279 variation to be represented in greater spatial detail.

280

281 Examining variable importance (Figure 3) for the climate-only models, mean annual
282 precipitation and frost free period were selected as the most critical variables predicting
283 species occurrence for most species, followed by degree days, growing season
284 precipitation, and summer moisture index. No other climate variables were selected as
285 important in the climate-only model predictions. When forest and land cover variables
286 were added into the models, their overall effect was minor; only percent conifers were
287 additionally selected as an important variable for a single species model.

288

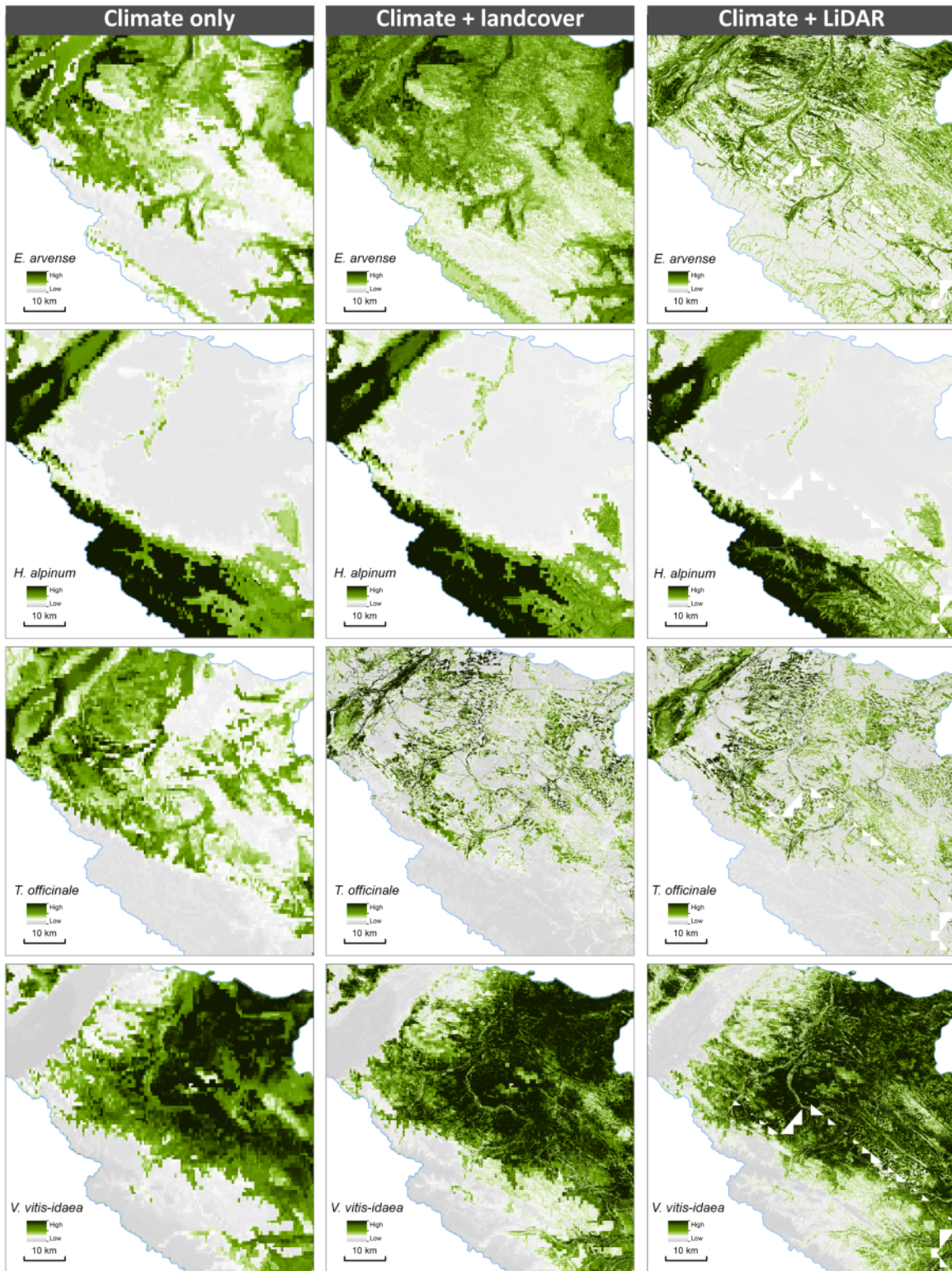
289 *Table 3: Model results*

Training AUC	Validation AUC	Validation Kappa	Variable Importance		
			1 st	2nd	3rd
<i>Arctostaphylos uva-ursi</i>					

Climate	0.87	0.67	0.15	Growing season Precip.	Mean annual Precip.	Frost free period
Climate + Forest	0.89	0.69	0.26	Growing season Precip.	Frost free period	Degree days base 0
Climate + LiDAR	0.95	0.79	0.34	Terrain Solar	Growing season Precip.	Mean annual Precip.
<i>Equisetum arvense</i>						
Climate	0.80	0.69	0.20	Growing season Precip.	Mean annual Precip.	Frost free period
Climate + Forest	0.78	0.67	0.15	Mean annual Precip.	Growing season Precip.	Frost free period
Climate + LiDAR	0.81	0.69	0.19	Wet Area	Elevation	Mean annual Precip.
<i>Fragaria virginiana</i>						
Climate	0.77	0.67	0.24	Mean annual Precip.	Degree days base 0	Growing season Precip.
Climate + Forest	0.81	0.67	0.21	Mean annual Precip.	Degree days base 0	Frost free period
Climate + LiDAR	0.84	0.74	0.30	Mean annual Precip.	Degree days base 0	Wet Area
<i>Hedysarum alpinum</i>						
Climate	0.94	0.88	0.47	Degree days base 0	Frost free period	Mean annual Precip.
Climate + Forest	0.94	0.89	0.46	Degree days base 0	Frost free period	Mean annual Precip.
Climate + LiDAR	0.96	0.91	0.48	Degree days base 0	Frost free period	Mean annual Precip.
<i>Heracleum lanatum</i>						
Climate	0.87	0.71	0.10	Mean annual Precip.	Frost free period	Growing season Precip.
Climate + Forest	0.88	0.70	0.09	Mean annual Precip.	Growing season Precip.	Frost free period
Climate + LiDAR	0.92	0.80	0.15	Wet Area	Mean annual Precip.	Slope
<i>Lathyrus ochroleucus</i>						
Climate	0.82	0.73	0.10	Mean annual Precip.	Frost free period	Summer moisture index
Climate + Forest	0.84	0.76	0.11	Mean annual Precip.	Frost free period	Degree days base 0
Climate + LiDAR	0.94	0.76	0.21	Mean annual Precip.	Terrain Solar	top of canopy Solar
<i>Rosa acicularis</i>						
Climate	0.77	0.69	0.26	Mean annual Precip.	Degree days base 0	Summer moisture index
Climate + Forest	0.79	0.71	0.23	Mean annual Precip.	Frost free period	Degree days base 0
Climate + LiDAR	0.84	0.75	0.32	Terrain Solar	Mean annual Precip.	Elevation
<i>Rubus idaeus</i>						
Climate	0.85	0.74	0.16	Frost free period	Mean annual Precip.	Degree days base 0
Climate + Forest	0.88	0.79	0.26	Frost free period	Mean annual Precip.	Degree days base 0
Climate + LiDAR	0.91	0.81	0.30	Wet Area	Frost free period	Maximum Height
<i>Rubus pedatus</i>						
Climate	0.84	0.79	0.20	Mean annual Precip.	Growing season Precip.	Degree days base 0
Climate + Forest	0.87	0.84	0.27	Growing season Precip.	Mean annual Precip.	Degree days base 0
Climate + LiDAR	0.91	0.87	0.29	Terrain Solar	Growing season Precip.	Mean annual Precip.
<i>Shepherdia canadensis</i>						
Climate	0.92	0.76	0.19	Degree days base 0	Mean annual Precip.	Summer moisture index
Climate + Forest	0.92	0.78	0.26	Degree days base 0	Frost free period	Growing season Precip.
Climate + LiDAR	0.94	0.76	0.25	Degree days base 0	Terrain Solar	Mean annual Precip.
<i>Taraxacum officinale</i>						
Climate	0.79	0.64	0.10	Mean annual Precip.	Frost free period	Growing season Precip.
Climate + Forest	0.85	0.73	0.24	Frost free period	Mean annual Precip.	Growing season Precip.
Climate + LiDAR	0.88	0.72	0.17	Frost free period	Mean Height	Wet Area
<i>Vaccinium caespitosum</i>						
Climate	0.80	0.67	0.16	Frost free period	Mean annual Precip.	Degree days base 0
Climate + Forest	0.83	0.72	0.24	Frost free period	Mean annual Precip.	Degree days base 0
Climate + LiDAR	0.87	0.73	0.32	Wet Area	5th percentile	Frost free period
<i>Vaccinium vitis-idaea</i>						
Climate	0.83	0.75	0.35	Frost free period	Degree days base 0	Summer moisture index
Climate + Forest	0.86	0.77	0.37	Frost free period	percent conifers	Degree days base 0
Climate + LiDAR	0.89	0.77	0.38	Frost free period	Minimum monthly temp.	Elevation
<i>Viburnum edule</i>						

290

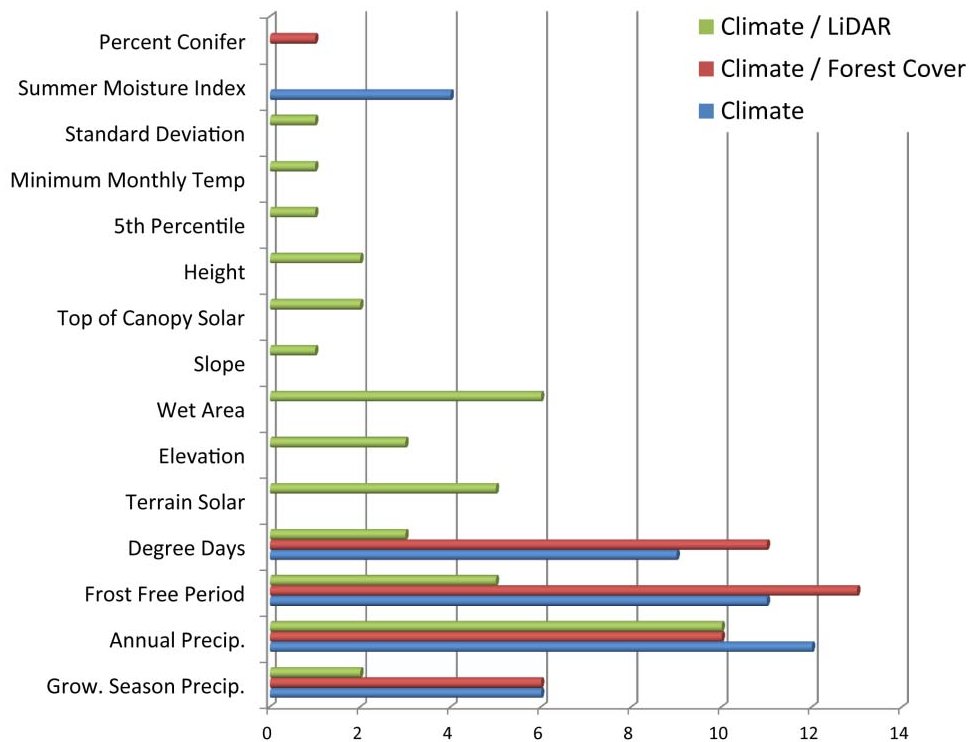
Climate	0.92	0.80	0.21	Frost free period	Mean annual Precip.	Degree days base 0
Climate + Forest	0.93	0.85	0.31	Frost free period	Mean annual Precip.	Degree days base 0
Climate + LiDAR	0.93	0.82	0.19	standard deviation	canopy Solar	Mean annual Precip.



291

292 **Figure 2: Probability of occurrence maps based on Climate (left), Climate + forest cover**
 293 **(middle), and Climate + Lidar (right) data for *E.arvense*, *H.alpinum*, *T.officinale*, and *V.vitis-***
 294 ***idea***

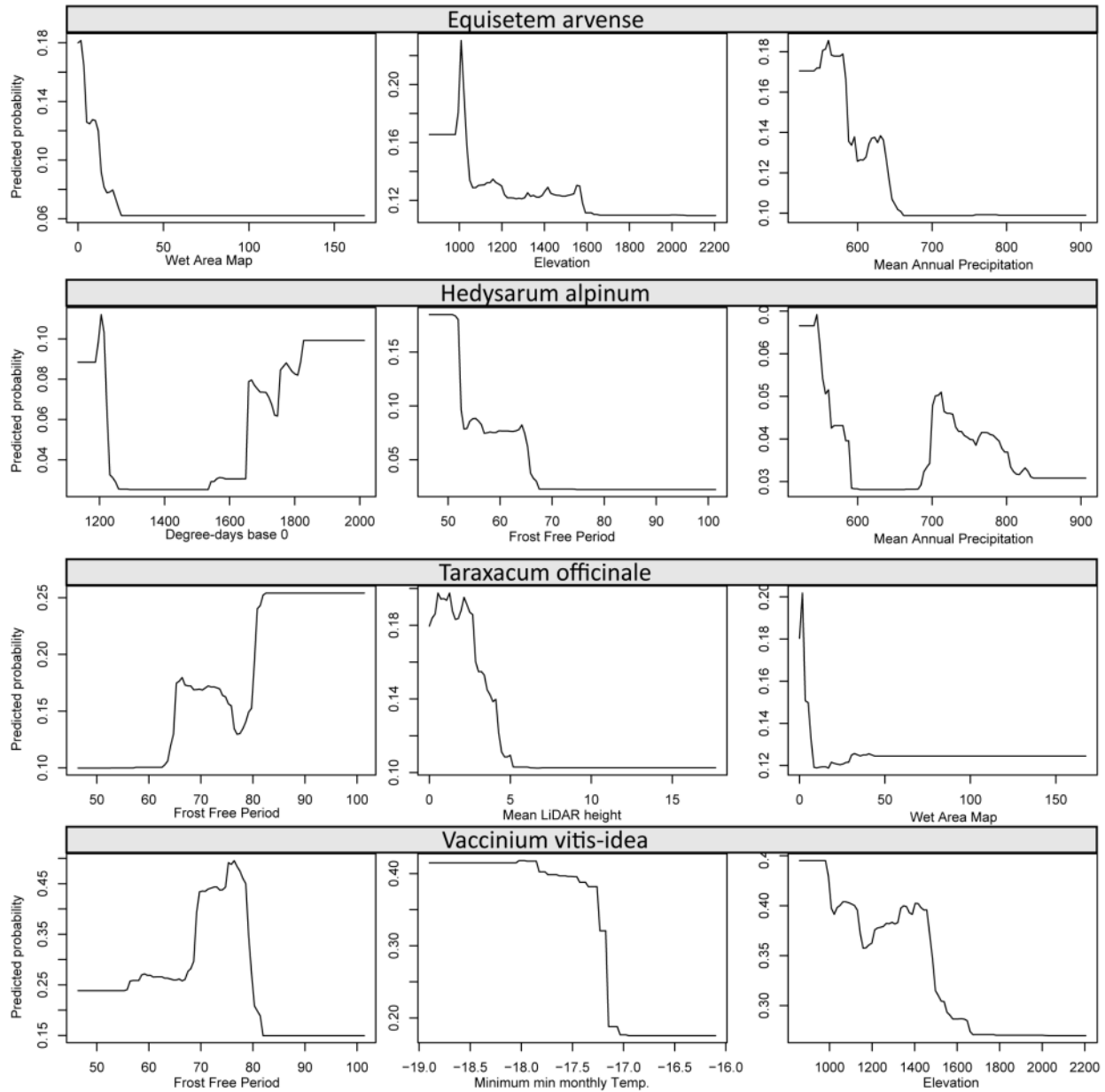
295 This result implies that the addition of Landsat-scale variables on land cover and forest
 296 cover do not add significant power to the understory models in this area. When LiDAR-
 297 derived canopy and terrain attributes were added to the models, variable selection changes
 298 markedly. A large number of LiDAR-derived variables were selected as important in model
 299 prediction. Wet area information, derived from the LiDAR DEM, was the most common
 300 variable added into the models, selected in 6 of the 14 species models. This was followed by
 301 the annual terrain-intercepted radiation (which is indicative of radiation regimes imposed
 302 by terrain) and then elevation. The addition of these three variables indicates the
 303 importance of higher spatial resolution in terrain patterns as it affected species distribution
 304 models. In response to these additions related to terrain attributes, there was a reduction
 305 in the importance of climate variables including frost free period and degree days while
 306 annual precipitation remained critical to model predictions. Of the LiDAR-derived canopy
 307 attributes, height and the solar regime of the canopy (i.e., shading of sites based on canopy
 308 cover and canopy gaps) were selected most often.



309

310 **Figure 3: Frequency of variables selected in the top-three predictors for each species for the**
311 **three model suites.**

312 The individual response graphs of the most important variables of the combined models
313 for 4 species are shown in Figure 4 and indicate the relationship between species
314 occurrence and environmental drivers. For *E. arvense*, it is apparent that species
315 occurrence is driven by presence of wet areas within the landscape at lower elevations. The
316 *H. alpinum* model did not incorporate any LiDAR-derived terrain or canopy information
317 and had a bi-modal response for degree days, reflecting its occurrence in cold, high
318 elevation meadows, and warmer low elevation sites in stream valleys. *T. officinale* is
319 predicted to occur in sites with longer frost free periods and lower mean canopy height,
320 predominantly in sites having vegetation cover less than 5m in height. Lastly, *V. vitis-idea*
321 occurs in sites with intermediate frost free period lengths and in cooler, lower elevation
322 sites.



323

324 **Figure 4: Response graphs of the first three most important variables for the Climate + Lidar**
 325 **model for *E.arvense*, *H.alpinum*, *T.officinale* and *V.vitis-idea*.**

326

327 **4. Discussion**

328 In this study we investigated the added benefit of incorporating LiDAR-derived terrain and
 329 forest canopy information into understory species models relevant for grizzly bear species
 330 habitat modelling. Our use of boosted regression trees for model development enabled the
 331 combination of multiple data types as well as the inclusion of complex relationships which

332 are often not possible to represent within standard linear models. Boosted regression trees
333 allow representation of the main variables used in the developed models, as well as
334 response graphs between individual plant occurrence and the most important variables.
335 The past five years has seen these models increasingly selected in ecological research
336 because of a number of features, including an ability to deal with collinear datasets, to
337 exclude insignificant variables, and to allow for asymmetrical distribution of samples
338 (De'Ath, 2002; Melendez et al., 2006; Schwalm et al., 2006). We recognize that a limitation
339 of boosted regression approaches is that many observations are required for reliable model
340 building, making model development of rare and more localised understory species more
341 problematic, and should be undertaken with caution (Coops et al., 2011).

342 In addition, we are cognisant of potential issues surrounding the quality of both remote
343 sensing and plot observation data given that the datasets were acquired across multiple
344 years. Most of the LIDAR acquisitions occurred within a 5 year difference window, however
345 in a small number of cases temporal gaps of up to 7 years may exist. As indicated in the
346 study area description the relationship between stand dominant height and age for
347 common pine species in the area (Cieszewski and Bella, 1989; Kirby, 1975) indicates that
348 the relative height difference between stands older than 50 years is markedly lower than a
349 height difference between younger stands. Similar effects can be observed for stand
350 volume per hectare (Tait et al., 1988). For example, theoretical dominant height difference
351 between two pine dominated stands in the area, between ages of 80 and 100, and site index
352 of 25, is 6.7%. Similar difference calculated for stands with ages 20 and 40 is 36.8%
353 (Cieszewski, 1991). As a result over the 5 year time frame between plot data measurement
354 and LIDAR data acquisition we anticipate in areas of no disturbance overall structural
355 conditions to have remained relatively constant.

356 In areas of recent harvest or fire, there may be marked discrepancies between the plot
357 conditions prior to the disturbance and the LIDAR data acquired post disturbance. Using
358 disturbance data on fire, harvesting, and resource extraction from the temporal Landsat
359 time series we were able to detect stands which have had marked disturbance over the 8
360 year study period, and removed these sites from the analysis (Hilker et al., 2009). However,
361 some issues may remain with LiDAR and field observations not directly coinciding.

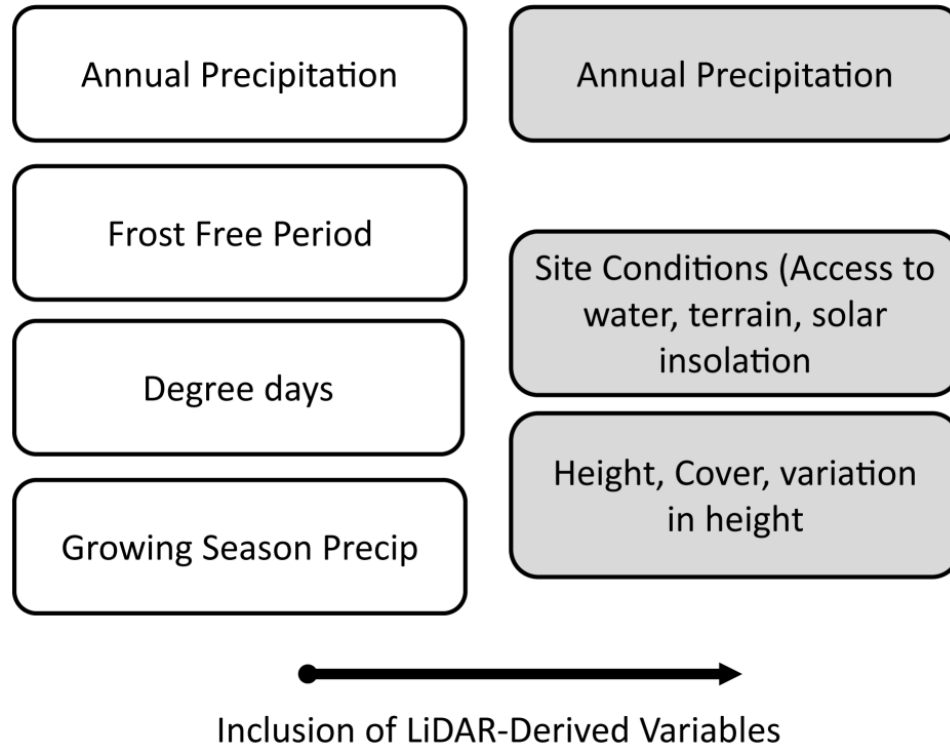
362 The focus of this study is on application of LiDAR data in a large area ecological modelling
363 study. To accommodate the size of the study area, and the level of pre-processing of the
364 LIDAR data prior to analysis, detailed analysis of forest structure using dense LiDAR point
365 clouds below 2m was not possible. We believe what is lost in our ability to derive
366 understorey structure directory, is gained by the large extent of the dataset and to
367 demonstrate how it can be applied to large area projects.

368

369 **5. Conclusions**

370 In this paper we demonstrate that plant distribution models developed with a combination
371 of both broad-scale climate data, as well as with LiDAR-derived terrain and canopy
372 information, provided the best overall performance, capturing more fine scale spatial
373 variation than models using climate data alone. The inclusion of the LiDAR attributes
374 suggest that these variables provide a more detailed explanation of the fine scale site
375 conditions, such as access to water, solar radiation regime at the site caused by terrain
376 shading, in addition to overall site elevation and slope (White et al., 2012). Information on
377 canopy height, gaps, shading, and height variations also appear to affect distributions for
378 some species but to a lesser degree than the finer site condition measured by LiDAR
379 (Figure 5). The inclusion of site level measures from LiDAR resulted in a reduction of
380 importance of growing degree days and frost free periods. This shift implies that the
381 inclusion of LiDAR data allows a more comprehensive description of the thermal and
382 radiation regimes of individual sites, replacing the need for broader scale descriptions of
383 the thermal load of each site.

384



385

386 **Figure 5: Change in Variables selected by models when incorporating fine scale site and**
 387 **canopy LiDAR derived information**

388

389

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